

<b>General information about company</b>	
Scrip code	503310
NSE Symbol	SWANENERGY
MSEI Symbol	NOTLISTED
ISIN	INE665A01038
Name of the company	SWAN ENERGY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	13	158500000			158500000	64.89	158500000		158500000	64.89
(B)	Public	7368	85757000			85757000	35.11	85757000		85757000	35.11
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	7381	244257000			244257000	100	244257000		244257000	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				64.89			27726385	17.49	158500000
(B)	Public				35.11					84162500
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			27726385	11.35	242662500

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	86500			86500	0.04	86500		86500	0.04
(d)	Any Other (specify)	10	158413500			158413500	64.86	158413500		158413500	64.86
Sub-Total (A)(1)		13	158500000			158500000	64.89	158500000		158500000	64.89
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	158500000			158500000	64.89	158500000		158500000	64.89
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	3903			3903	0	3903		3903	0
(e)	Foreign Portfolio Investors	10	20593305			20593305	8.43	20593305		20593305	8.43
(f)	Financial Institutions/ Banks	12	227003			227003	0.09	227003		227003	0.09
(g)	Insurance Companies	3	9413090			9413090	3.85	9413090		9413090	3.85
Sub-Total (B)(1)		27	30237301			30237301	12.38	30237301		30237301	12.38
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	6958	4407965			4407965	1.8	4407965		4407965	1.8
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	202000			202000	0.08	202000		202000	0.08
(e)	Any Other (specify)	382	50909734			50909734	20.84	50909734		50909734	20.84
Sub-Total (B)(3)		7341	55519699			55519699	22.73	55519699		55519699	22.73
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		7368	85757000			85757000	35.11	85757000		85757000	35.11
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		7381	244257000			244257000	100	244257000		244257000	100
Total (A+B+C)		7381	244257000			244257000	100	244257000		244257000	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.04			0	0	86500
(d)				64.86			27726385	17.5	158413500
Sub-Total (A) (1)				64.89			27726385	17.49	158500000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				64.89			27726385	17.49	158500000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					3903
(e)				8.43					20593305
(f)				0.09					118103
(g)				3.85					9412790
Sub-Total (B) (1)				12.38					30128101
(3)	Non-institutions								
(a(i))				1.8					3056665
(a(ii))				0.08					202000
(e)				20.84					50775734
Sub-Total (B) (3)				22.73					54034399
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				35.11					84162500
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					242662500
Total (A+B+C)				100			27726385	11.35	242662500

Individuals/Hindu undivided Family				
Serial No.	1	2	3	
Name of the Shareholders (I)	VINITA NIKHIL MERCHANT	BHAVIK NIKHIL MERCHANT	NIKHIL VASANTLAL MERCHANT	<a href="#">Click here to go back</a>
PAN (II)	APZPM3319J	APYPM9298M	AAEPM1722D	Total
No. of fully paid up equity shares held (IV)	41500	41000	4000	86500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	41500	41000	4000	86500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.02	0	0.04
Number of Voting Rights held in each class of securities (IX)				
Class eg:X	41500	41000	4000	86500
Class eg:y				
Total	41500	41000	4000	86500
Total as a % of Total Voting rights	0.02	0.02	0	0.04
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.02	0	0.04
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41500	41000	4000	86500
Reason for not providing PAN				

Reason for not providing PAN				
Shareholder type	Promoter	Promoter	Promoter	

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	DAVE IMPEX PRIVATE LIMITED	SWAN REALTORS PRIVATE LIMITED	SWAN ENGITECH WORKS PRIVATE LIMITED	DAVE LEASING AND HOLDINGS PRIVATE LIMITED	SAHAJANAND SOAPS AND CHEMICALS PVT LTD	INDERLOK DEALCOMM PRIVATE LIMITED	FORCEFUL VINCOM PRIVATE LIMITED
PAN (II)	AAACD1314M	AAFCS3263D	AAACS6331H	AABCD3185F	AACCS7368A	AACCI1061N	AABCF3799H
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	46030400	41589000	38402858	7440800	6610000	4000000	4000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.85	17.03	15.72	3.05	2.71	1.64	1.64
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Class eg:y							
Total	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Total as a % of Total Voting rights	18.85	17.03	15.72	3.05	2.71	1.64	1.64
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	18.85	17.03	15.72	3.05	2.71	1.64	1.64
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							

No. (a)	27726385	0	0	0	0	0	0
As a % of total Shares held (b)	60.23	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter Group						

Any Other (specify)				
Serial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	DHANKALASH TRADECOMM PRIVATE LIMITED	BANSHIDHAR TRADERS PRIVATE LIMITED	SWAN INTERNATIONAL LIMITED	
PAN (II)	AADCD1886F	AADCB8055E	AAECS4774Q	Total
No. of the Shareholders (I)	1	1	1	10
No. of fully paid up equity shares held (IV)	4000000	4000000	2340442	158413500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4000000	4000000	2340442	158413500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.64	1.64	0.96	64.86
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4000000	4000000	2340442	158413500
Class eg:y				
Total	4000000	4000000	2340442	158413500
Total as a % of Total Voting rights	1.64	1.64	0.96	64.86
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.64	1.64	0.96	64.86
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	27726385
As a % of total Shares held (b)	0	0	0	17.5

Number of equity shares held in dematerialized form (XIV)	4000000	4000000	2340442	158413500
Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter Group	Promoter Group	Promoter Group	

Foreign Portfolio Investors					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	ARES DIVERSIFIED	ALBULA INVESTMENT FUND LTD	HYPNOS FUND LIMITED	EOS MULTI STRATEGY FUND LTD	<a href="#">Click here to go back</a>
PAN (II)	AAHCA2144B	AAHCA3597Q	AACCH6785N	AADCE0686N	Total
No. of fully paid up equity shares held (IV)	8328790	5134765	3602358	2905401	19971314
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8328790	5134765	3602358	2905401	19971314
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.41	2.1	1.47	1.19	8.18
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	8328790	5134765	3602358	2905401	19971314
Class eg:y					
Total	8328790	5134765	3602358	2905401	19971314
Total as a % of Total Voting rights	3.41	2.1	1.47	1.19	8.18
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.41	2.1	1.47	1.19	8.18
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	8328790	5134765	3602358	2905401	19971314
Reason for not providing PAN					
Reason for not providing PAN					

<b>Insurance Companies</b>		
Serial No.	1	
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	<a href="#">Click here to go back</a>
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	9412790	9412790
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9412790	9412790
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.85	3.85
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	9412790	9412790
Class eg:y		
Total	9412790	9412790
Total as a % of Total Voting rights	3.85	3.85
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.85	3.85
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	9412790	9412790
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	LLP	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category			
Name of the Shareholders (I)			KASTURI VINTRADE PRIVATE LIMITED	TIRUPATI AGENCIES PRIVATE LIMITED	NEEDFUL DEALCOMM PRIVATE LIMITED	SURYAKANCHAN VINIMAY PRIVATE LIMITED	
PAN (II)			AADCK7022Q	AAACT3169Q	AADCN5282H	AANCS1976K	
No. of the Shareholders (I)	4	102	1	1	1	1	43
No. of fully paid up equity shares held (IV)	435907	26658369	9035037	7695000	4099000	3490075	397135
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	435907	26658369	9035037	7695000	4099000	3490075	397135
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.18	10.91	3.7	3.15	1.68	1.43	0.16
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	435907	26658369	9035037	7695000	4099000	3490075	397135
Class eg:y							
Total	435907	26658369	9035037	7695000	4099000	3490075	397135
Total as a % of Total Voting rights	0.18	10.91	3.7	3.15	1.68	1.43	0.16
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.18	10.91	3.7	3.15	1.68	1.43	0.16
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity	435907	26581369	9035037	7695000	4099000	3490075	397135

shares held in dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)						
Serial No.	8	9	10	11	12	13
Category	Non-Resident Indian (NRI)	Others	Others	Trusts	HUF	Unclaimed or Suspense or Escrow Account
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)		Foreign Company	2I CAPITAL PCC			
PAN (II)			AAACZ2718B			
No. of the Shareholders (I)	88	1	1	3	140	1
No. of fully paid up equity shares held (IV)	120893	23077000	23077000	1900	163230	55300
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	120893	23077000	23077000	1900	163230	55300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.05	9.45	9.45	0	0.07	0.02
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	120893	23077000	23077000	1900	163230	55300
Class eg:y						
Total	120893	23077000	23077000	1900	163230	55300
Total as a % of Total Voting rights	0.05	9.45	9.45	0	0.07	0.02
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.05	9.45	9.45	0	0.07	0.02
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	120893	23077000	23077000	200	163230	0

Reason for not providing PAN						
Reason for not providing PAN						

<b>Any Other (specify)</b>	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	382
No. of fully paid up equity shares held (IV)	50909734
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	50909734
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.84
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg: X	50909734
Class eg:y	
Total	50909734
Total as a % of Total Voting rights	20.84
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	20.84
<b>Number of Locked in shares (XII)</b>	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	50775734
Reason for not providing PAN	

Reason for not providing PAN	
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**significant beneficial owners**

Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
Shil V. Merchant	AAEPM1722D		India		DAVE IMPEX PRIVATE LIMITED	AAACD1314M		India		18.85	18.85		Yes	Yes
Shil V. Merchant	AAEPM1722D		India		SWAN REALTORS PRIVATE LIMITED	AAFCS3263D		India		17.03	17.03		Yes	Yes
Shil V. Merchant	AAEPM1722D		India		SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H		India		15.72	15.72		Yes	Yes
<b>Total:</b>										<b>51.6</b>	<b>51.6</b>	<b>0</b>		