

<b>General information about company</b>	
Scrip code	503310
NSE Symbol	SWANENERGY
MSEI Symbol	NOTLISTED
ISIN	INE665A01038
Name of the company	SWAN ENERGY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	No	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	13	158500000			158500000	64.89	158500000		158500000	64.89
(B)	Public	8111	85757000			85757000	35.11	85757000		85757000	35.11
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>8124</b>	<b>244257000</b>			<b>244257000</b>	<b>100</b>	<b>244257000</b>		<b>244257000</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				64.89			44980385	28.38	158500000
(B)	Public				35.11	23077000	26.91			84077200
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	23077000	9.45	44980385	18.42	242577200

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	86500			86500	0.04	86500		86500	0.04
(d)	Any Other (specify)	10	158413500			158413500	64.86	158413500		158413500	64.86
Sub-Total (A)(1)		13	158500000			158500000	64.89	158500000		158500000	64.89
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	158500000			158500000	64.89	158500000		158500000	64.89
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	9	21749089			21749089	8.9	21749089		21749089	8.9
(f)	Financial Institutions/ Banks	9	105640			105640	0.04	105640		105640	0.04
(g)	Insurance Companies	2	9413090			9413090	3.85	9413090		9413090	3.85
Sub-Total (B)(1)		20	31267819			31267819	12.8	31267819		31267819	12.8
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7556	5142708			5142708	2.11	5142708		5142708	2.11
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	838907			838907	0.34	838907		838907	0.34
(e)	Any Other (specify)	532	48507566			48507566	19.86	48507566		48507566	19.86
Sub-Total (B)(3)		8091	54489181			54489181	22.31	54489181		54489181	22.31
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8111	85757000			85757000	35.11	85757000		85757000	35.11
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		8124	244257000			244257000	100	244257000		244257000	100
Total (A+B+C)		8124	244257000			244257000	100	244257000		244257000	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.04			0	0	86500
(d)				64.86			44980385	28.39	158413500
Sub-Total (A)(1)				64.89			44980385	28.38	158500000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				64.89			44980385	28.38	158500000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				8.9	0	0			21749089
(f)				0.04	0	0			10740
(g)				3.85	0	0			9412790
Sub-Total (B)(1)				12.8	0	0			31172619
(3)	Non-institutions								
(a(i))				2.11	0	0			3636308
(a(ii))				0.34	0	0			838907
(e)				19.86	23077000	47.57			48429366
Sub-Total (B)(3)				22.31	23077000	42.35			52904581
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				35.11	23077000	26.91			84077200
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100	23077000	9.45			242577200
Total (A+B+C )				100	23077000	9.45	44980385	18.42	242577200

<b>Individuals/Hindu undivided Family</b>				
Searial No.	1	2	3	
Name of the Shareholders (I)	VINITA NIKHIL MERCHANT	BHAVIK NIKHIL MERCHANT	NIKHIL VASANTLAL MERCHANT	<a href="#">Click here to go back</a>
PAN (II)	APZPM3319J	APYPM9298M	AAEPM1722D	Total
No. of fully paid up equity shares held (IV)	41500	41000	4000	86500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	41500	41000	4000	86500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.02	0	0.04
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg:X	41500	41000	4000	86500
Class eg:y				
Total	41500	41000	4000	86500
Total as a % of Total Voting rights	0.02	0.02	0	0.04
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.02	0	0.04
<b>Number of Locked in shares (XII)</b>				
No. (a)				
As a % of total Shares held (b)				
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41500	41000	4000	86500

Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter	Promoter	Promoter	

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	DAVE IMPEX PRIVATE LIMITED	SWAN REALTORS PRIVATE LIMITED	SWAN ENGITECH WORKS PRIVATE LIMITED	DAVE LEASING AND HOLDINGS PRIVATE LIMITED	SAHAJANAND SOAPS AND CHEMICALS PVT LTD	FORCEFUL VINCOM PRIVATE LIMITED	INDERLOK DEALCOMM PRIVATE LIMITED
PAN (II)	AAACD1314M	AAFCS3263D	AAACS633IH	AABCD3185F	AACCS7368A	AABCF3799H	AACCI1061N
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	46030400	41589000	38402858	7440800	6610000	4000000	4000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.85	17.03	15.72	3.05	2.71	1.64	1.64
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Class eg:y							
Total	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Total as a % of Total Voting rights	18.85	17.03	15.72	3.05	2.71	1.64	1.64
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	18.85	17.03	15.72	3.05	2.71	1.64	1.64
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							

Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	44980385	0	0	0	0	0	0
As a % of total Shares held (b)	97.72	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter						

Any Other (specify)				
Searial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	BANSHIDHAR TRADERS PRIVATE LIMITED	DHANKALASH TRADECOMM PRIVATE LIMITED	SWAN INTERNATIONAL LIMITED	
PAN (II)	AADCB8055E	AADCD1886F	AAECS4774Q	Total
No. of the Shareholders (I)	1	1	1	10
No. of fully paid up equity shares held (IV)	4000000	4000000	2340442	158413500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4000000	4000000	2340442	158413500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.64	1.64	0.96	64.86
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4000000	4000000	2340442	158413500
Class eg:y				
Total	4000000	4000000	2340442	158413500
Total as a % of Total Voting rights	1.64	1.64	0.96	64.86
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.64	1.64	0.96	64.86
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	44980385

As a % of total Shares held (b)	0	0	0	28.39
Number of equity shares held in dematerialized form (XIV)	4000000	4000000	2340442	158413500
Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter	Promoter	Promoter	

Foreign Portfolio Investors					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	ARES DIVERSIFIED	ALBULA INVESTMENT FUND LTD	HYPNOS FUND LIMITED	EOS MULTI STRATEGY FUND LTD	<a href="#">Click here to go back</a>
PAN (II)	AAHCA2144B	AAHCA3597Q	AACCH6785N	AADCE0686N	Total
No. of fully paid up equity shares held (IV)	8608335	5548800	3720278	3092000	20969413
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	8608335	5548800	3720278	3092000	20969413
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.52	2.27	1.52	1.27	8.58
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	8608335	5548800	3720278	3092000	20969413
Class eg:y					
Total	8608335	5548800	3720278	3092000	20969413
Total as a % of Total Voting rights	3.52	2.27	1.52	1.27	8.58
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.52	2.27	1.52	1.27	8.58
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	8608335	5548800	3720278	3092000	20969413
Reason for not providing PAN					
Reason for not providing PAN					

<b>Insurance Companies</b>		
Serial No.	1	
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	<a href="#">Click here to go back</a>
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	9412790	9412790
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	9412790	9412790
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.85	3.85
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	9412790	9412790
Class eg:y		
Total	9412790	9412790
Total as a % of Total Voting rights	3.85	3.85
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.85	3.85
<b>Number of Locked in shares (XII)</b>		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	9412790	9412790
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	LLP	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	Non-Resident Indian (NRI)
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)			TIRUPATI AGENCIES PRIVATE LIMITED	NEEDFUL DEALCOMM PRIVATE LIMITED	KASTURI VINTRADE PRIVATE LIMITED		
PAN (II)			AAACT3169Q	AADCN5282H	AADCK7022Q		
No. of the Shareholders (I)	3	172	1	1	1	107	84
No. of fully paid up equity shares held (IV)	433907	23603173	7695000	4060000	4024547	1064394	136219
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	433907	23603173	7695000	4060000	4024547	1064394	136219
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.18	9.66	3.15	1.66	1.65	0.44	0.06
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	433907	23603173	7695000	4060000	4024547	1064394	136219
Class eg:y							
Total	433907	23603173	7695000	4060000	4024547	1064394	136219
Total as a % of Total Voting rights	0.18	9.66	3.15	1.66	1.65	0.44	0.06
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.18	9.66	3.15	1.66	1.65	0.44	0.06
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	0

As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	433907	23526173	7695000	4060000	4024547	1064394	136219
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)					
Searial No.	8	9	10	11	
Category	Others	Others	Trusts	HUF	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)	2I CAPITAL PCC - Foreign Company	2I CAPITAL PCC - Foreign Company			Click here to go back
PAN (II)		AAACZ2718B			Total
No. of the Shareholders (I)	1	1	4	161	532
No. of fully paid up equity shares held (IV)	23077000	23077000	1700	191173	48507566
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	23077000	23077000	1700	191173	48507566
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.45	9.45	0	0.08	19.87
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	23077000	23077000	1700	191173	48507566
Class eg:y					
Total	23077000	23077000	1700	191173	48507566
Total as a % of Total Voting rights	9.45	9.45	0	0.08	19.87
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.45	9.45	0	0.08	19.87
Number of Locked in shares (XII)					
No. (a)	23077000	23077000	0	0	23077000
As a % of total Shares held (b)	100	100	0	0	47.57
Number of equity shares held in dematerialized	23077000	23077000	500	191173	48429366

form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					

