

General information about company	
Scrip code	503310
Name of the company	SWAN ENERGY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	13	158500000			158500000	71.66	158500000		158500000	71.66
(B)	Public	11585	62680000			62680000	28.34	62680000		62680000	28.34
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	11598	221180000			221180000	100	221180000		221180000	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				71.66			53198000	33.56	158500000
(B)	Public				28.34					60939400
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			53198000	24.05	219439400

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	86500			86500	0.04	86500		86500	0.04
(d)	Any Other (specify)	10	158413500			158413500	71.62	158413500		158413500	71.62
Sub-Total (A)(1)		13	158500000			158500000	71.66	158500000		158500000	71.66
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	158500000			158500000	71.66	158500000		158500000	71.66
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	12	22985061			22985061	10.39	22985061		22985061	10.39
(f)	Financial Institutions/ Banks	10	436234			436234	0.2	436234		436234	0.2
(g)	Insurance Companies	3	5613090			5613090	2.54	5613090		5613090	2.54
Sub-Total (B)(1)		25	29034385			29034385	13.13	29034385		29034385	13.13
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10702	6213044			6213044	2.81	6213044		6213044	2.81
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	1106852			1106852	0.5	1106852		1106852	0.5
(e)	Any Other (specify)	857	26325719			26325719	11.9	26325719		26325719	11.9
Sub-Total (B)(3)		11560	33645615			33645615	15.21	33645615		33645615	15.21
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		11585	62680000			62680000	28.34	62680000		62680000	28.34
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		11598	221180000			221180000	100	221180000		221180000	100
Total (A+B+C)		11598	221180000			221180000	100	221180000		221180000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.04			0	0	86500
(d)				71.62			53198000	33.58	158413500
Sub-Total (A) (1)				71.66			53198000	33.56	158500000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				71.66			53198000	33.56	158500000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				10.39					22985061
(f)				0.2					341334
(g)				2.54					5612790
Sub-Total (B) (1)				13.13					28939185
(3)	Non-institutions								
(a(i))				2.81					4645844
(a(ii))				0.5					1106852
(e)				11.9					26247519
Sub-Total (B) (3)				15.21					32000215
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				28.34					60939400
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					219439400
Total (A+B+C)				100			53198000	24.05	219439400

Individuals/Hindu undivided Family				
Serial No.	1	2	3	
Name of the Shareholders (I)	BHAVIK NIKHIL MERCHANT	VINITA NIKHIL MERCHANT	NIKHIL VASANTLAL MERCHANT	Click here to go back
PAN (II)	APYPM9298M	APZPM3319J	AAEPM1722D	Total
No. of fully paid up equity shares held (IV)	41000	41500	4000	86500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	41000	41500	4000	86500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.02	0	0.04
Number of Voting Rights held in each class of securities (IX)				
Class eg:X	41000	41500	4000	86500
Class eg:y				
Total	41000	41500	4000	86500
Total as a % of Total Voting rights	0.02	0.02	0	0.04
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.02	0	0.04
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	0

As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41000	41500	4000	86500
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	SWAN REALTORS PRIVATE LIMITED	DAVE IMPEX PRIVATE LIMITED	SWAN ENGITECH WORKS PRIVATE LIMITED	DAVE LEASING AND HOLDINGS PRIVATE L	SAHAJANAND SOAPS AND CHEMICALS PVT	SWAN INTERNATIONAL LIMITED	DHANKALASH TRADECOMM PRIVATE LIMITE
PAN (II)	AAFCS3263D	AAACD1314M	AAACS6331H	AABCD3185F	AACCS7368A	AAECS4774Q	AADCD1886F
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.8	20.81	17.36	3.36	2.99	1.06	1.81
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Class eg:y							
Total	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Total as a % of Total Voting rights	18.8	20.81	17.36	3.36	2.99	1.06	1.81
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full	18.8	20.81	17.36	3.36	2.99	1.06	1.81

conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	11803615	41394385	0	0	0	0	0
As a % of total Shares held (b)	28.38	89.93	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Reason for not providing PAN							

Any Other (specify)				
Serial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	FORCEFUL VINCOM PRIVATE LIMITED	BANSHIDHAR TRADERS PRIVATE LIMITED	INDERLOK DEALCOMM PRIVATE LIMITED	
PAN (II)	AABCF3799H	AADCB8055E	AACCI1061N	Total
No. of the Shareholders (I)	1	1	1	10
No. of fully paid up equity shares held (IV)	4000000	4000000	4000000	158413500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4000000	4000000	4000000	158413500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.81	1.81	1.81	71.62
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4000000	4000000	4000000	158413500
Class eg:y				
Total	4000000	4000000	4000000	158413500
Total as a % of Total Voting rights	1.81	1.81	1.81	71.62
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.81	1.81	1.81	71.62
Number of Locked in shares (XII)				
No. (a)				

As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	53198000
As a % of total Shares held (b)	0	0	0	33.58
Number of equity shares held in dematerialized form (XIV)	4000000	4000000	4000000	158413500
Reason for not providing PAN				

Foreign Portfolio Investors					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	ALBULA INVESTMENT FUND LTD	ARES DIVERSIFIED	EOS MULTI STRATEGY FUND LTD	HYPNOS FUND LIMITED	Click here to go back
PAN (II)	AAHCA3597Q	AAHCA2144B	AADCE0686N	AACCH6785N	Total
No. of fully paid up equity shares held (IV)	5624800	8695809	3092000	3805778	21218387
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5624800	8695809	3092000	3805778	21218387
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.54	3.93	1.4	1.72	9.59
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	5624800	8695809	3092000	3805778	21218387
Class eg:y					
Total	5624800	8695809	3092000	3805778	21218387
Total as a % of Total Voting rights	2.54	3.93	1.4	1.72	9.59
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.54	3.93	1.4	1.72	9.59
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in	5624800	8695809	3092000	3805778	21218387

dematerialized form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					

Insurance Companies		
Serial No.	1	
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	Click here to go back
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	5612790	5612790
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5612790	5612790
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.54	2.54
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5612790	5612790
Class eg:y		
Total	5612790	5612790
Total as a % of Total Voting rights	2.54	2.54
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.54	2.54
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5612790	5612790

Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	NRI – Repat	NRI – Non-Repatriate	Trusts	HUF	Clearing Members	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)							TIRUPATI AGENCIES PRIVATE LIMITED
PAN (II)							AAACT3169Q
No. of the Shareholders (I)	66	27	5	258	244	257	1
No. of fully paid up equity shares held (IV)	46329	17978	2300	366672	1643569	24248871	7695000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	46329	17978	2300	366672	1643569	24248871	7695000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.01	0	0.17	0.74	10.96	3.48
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	46329	17978	2300	366672	1643569	24248871	7695000
Class eg:y							
Total	46329	17978	2300	366672	1643569	24248871	7695000
Total as a % of Total Voting rights	0.02	0.01	0	0.17	0.74	10.96	3.48
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.02	0.01	0	0.17	0.74	10.96	3.48
Number of Locked in shares (XII)							

No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	46329	17978	1100	366672	1643569	24171871	7695000
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)				
Serial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	KASTURI VINTRADE PRIVATE LIMITED	NEEDFUL DEALCOMM PRIVATE LIMITED	SURYAKANCHAN VINIMAY PRIVATE LIMITE	Click here to go back
PAN (II)	AADCK7022Q	AADCN5282H	AANCS1976K	Total
No. of the Shareholders (I)	1	1	1	857
No. of fully paid up equity shares held (IV)	2453561	5060000	5060000	26325719
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2453561	5060000	5060000	26325719
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.11	2.29	2.29	11.9
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	2453561	5060000	5060000	26325719
Class eg:y				
Total	2453561	5060000	5060000	26325719
Total as a % of Total Voting rights	1.11	2.29	2.29	11.9
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.11	2.29	2.29	11.9
Number of Locked in shares (XII)				
No. (a)				

As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	2453561	5060000	5060000	26247519
Reason for not providing PAN				
Reason for not providing PAN				

