

<b>General information about company</b>	
Scrip code	503310
Name of the company	SWAN ENERGY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	13	158500000			158500000	64.89	158500000		158500000	64.89
(B)	Public	8849	85757000			85757000	35.11	85757000		85757000	35.11
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	8862	244257000			244257000	100	244257000		244257000	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				64.89	0	0	54480385	34.37	158500000
(B)	Public				35.11	23077000	26.91			84064400
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	23077000	9.45	54480385	22.3	242564400

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	86500			86500	0.04	86500		86500	0.04
(d)	Any Other (specify)	10	158413500			158413500	64.86	158413500		158413500	64.86
Sub-Total (A)(1)		13	158500000			158500000	64.89	158500000		158500000	64.89
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	158500000			158500000	64.89	158500000		158500000	64.89
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	7	21817222			21817222	8.93	21817222		21817222	8.93
(f)	Financial Institutions/ Banks	9	132191			132191	0.05	132191		132191	0.05
(g)	Insurance Companies	3	5813090			5813090	2.38	5813090		5813090	2.38
Sub-Total (B)(1)		19	27762503			27762503	11.37	27762503		27762503	11.37
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	8148	5810754			5810754	2.38	5810754		5810754	2.38
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	1380166			1380166	0.57	1380166		1380166	0.57
(e)	Any Other (specify)	679	50803577			50803577	20.8	50803577		50803577	20.8
Sub-Total (B)(3)		8830	57994497			57994497	23.74	57994497		57994497	23.74
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8849	85757000			85757000	35.11	85757000		85757000	35.11

C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		8862	244257000			244257000	100	244257000		244257000	100
Total (A+B+C)		8862	244257000			244257000	100	244257000		244257000	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.04	0	0	0	0	86500
(d)				64.86	0	0	54480385	34.39	158413500
Sub-Total (A)(1)				64.89	0	0	54480385	34.37	158500000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				64.89	0	0	54480385	34.37	158500000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				8.93	0	0			21817222
(f)				0.05	0	0			37291
(g)				2.38	0	0			5812790
Sub-Total (B)(1)				11.37	0	0			27667303
(3)	Non-institutions								
(a(i))				2.38	0	0			4291554
(a(ii))				0.57	0	0			1380166
(e)				20.8	23077000	45.42			50725377
Sub-Total (B)(3)				23.74	23077000	39.79			56397097
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				35.11	23077000	26.91			84064400
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	23077000	9.45			242564400
Total (A+B+C)				100	23077000	9.45	54480385	22.3	242564400

<b>Individuals/Hindu undivided Family</b>				
Searial No.	1	2	3	
Name of the Shareholders (I)	BHAVIK NIKHIL MERCHANT	VINITA NIKHIL MERCHANT	NIKHIL VASANTLAL MERCHANT	<a href="#">Click here to go back</a>
PAN (II)	APYPM9298M	APZPM3319J	AAEPM1722D	Total
No. of fully paid up equity shares held (IV)	41000	41500	4000	86500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	41000	41500	4000	86500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.02	0	0.04
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg:X	41000	41500	4000	86500
Class eg:y				
Total	41000	41500	4000	86500
Total as a % of Total Voting rights	0.02	0.02	0	0.04
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.02	0	0.04
<b>Number of Locked in shares (XII)</b>				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>				

No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41000	41500	4000	86500
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	SWAN REALTORS PRIVATE LIMITED	DAVE IMPEX PRIVATE LIMITED	SWAN ENGITECH WORKS PRIVATE LIMITED	DAVE LEASING AND HOLDINGS PRIVATE L	SAHAJANAND SOAPS AND CHEMICALS PVT	SWAN INTERNATIONAL LIMITED	DHANKALASH TRADECOMM PRIVATE LIMITE
PAN (II)	AAFCS3263D	AAACD1314M	AAACS6331H	AABCD3185F	AACCS7368A	AAECS4774Q	AADCD1886F
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+(VI)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.03	18.85	15.72	3.05	2.71	0.96	1.64
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Class eg:y							
Total	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Total as a % of Total Voting rights	17.03	18.85	15.72	3.05	2.71	0.96	1.64
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	17.03	18.85	15.72	3.05	2.71	0.96	1.64
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	9500000	44980385	0	0	0	0	0
As a % of total Shares held (b)	22.84	97.72	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Reason for not providing PAN							

<b>Any Other (specify)</b>				
Searial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	FORCEFUL VINCOM PRIVATE LIMITED	BANSHIDHAR TRADERS PRIVATE LIMITED	INDERLOK DEALCOMM PRIVATE LIMITED	
PAN (II)	AABCF3799H	AADCB8055E	AACCI1061N	Total
No. of the Shareholders (I)	1	1	1	10
No. of fully paid up equity shares held (IV)	4000000	4000000	4000000	158413500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4000000	4000000	4000000	158413500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.64	1.64	1.64	64.86
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	4000000	4000000	4000000	158413500
Class eg:y				
Total	4000000	4000000	4000000	158413500
Total as a % of Total Voting rights	1.64	1.64	1.64	64.86
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.64	1.64	1.64	64.86
<b>Number of Locked in shares (XII)</b>				

No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	54480385
As a % of total Shares held (b)	0	0	0	34.39
Number of equity shares held in dematerialized form (XIV)	4000000	4000000	4000000	158413500
Reason for not providing PAN				

<b>Foreign Portfolio Investors</b>					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	ARES DIVERSIFIED	ALBULA INVESTMENT FUND LTD	HYPONS FUND LIMITED	EOS MULTI STRATEGY FUND LTD	<a href="#">Click here to go back</a>
PAN (II)	AAHCA2144B	AAHCA3597Q	AACCH6785N	AADCE0686E	Total
No. of fully paid up equity shares held (IV)	8608335	5563800	3720278	3092000	20984413
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	8608335	5563800	3720278	3092000	20984413
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.52	2.28	1.52	1.27	8.59
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	8608335	5563800	3720278	3092000	20984413
Class eg:y					
Total	8608335	5563800	3720278	3092000	20984413
Total as a % of Total Voting rights	3.52	2.28	1.52	1.27	8.59
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.52	2.28	1.52	1.27	8.59
<b>Number of Locked in shares (XII)</b>					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0

Number of equity shares held in dematerialized form (XIV)	8608335	5563800	3720278	3092000	20984413
Reason for not providing PAN					
Reason for not providing PAN					

<b>Insurance Companies</b>		
Searial No.	1	
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	<a href="#">Click here to go back</a>
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	5812790	5812790
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5812790	5812790
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.38	2.38
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	5812790	5812790
Class eg:y		
Total	5812790	5812790
Total as a % of Total Voting rights	2.38	2.38
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.38	2.38
<b>Number of Locked in shares (XII)</b>		
No. (a)	0	0
As a % of total Shares held (b)	0	0

Number of equity shares held in dematerialized form (XIV)	5812790	5812790
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	NRI – Repat	NRI – Non-Repatriate	Overseas corporate bodies	Overseas corporate bodies	Trusts	HUF	Clearing Members
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)				2I CAPITAL PCC			
PAN (II)				AAACZ2718B			
No. of the Shareholders (I)	53	27	1	1	4	209	189
No. of fully paid up equity shares held (IV)	22132	19678	23077000	23077000	1700	440129	1911196
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	22132	19678	23077000	23077000	1700	440129	1911196
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0.01	9.45	9.45	0	0.18	0.78
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	22132	19678	23077000	23077000	1700	440129	1911196
Class eg:y							
Total	22132	19678	23077000	23077000	1700	440129	1911196
Total as a % of Total Voting rights	0.01	0.01	9.45	9.45	0	0.18	0.78
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	0.01	9.45	9.45	0	0.18	0.78

Number of Locked in shares (XII)							
No. (a)	0	0	23077000	23077000	0	0	0
As a % of total Shares held (b)	0	0	100	100	0	0	0
Number of equity shares held in dematerialized form (XIV)	22132	19678	23077000	23077000	500	440129	1911196
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)						
Searial No.	8	9	10	11	12	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding				
Name of the Shareholders (I)		KASTURI VINTRADE PRIVATE LIMITED	NEEDFUL DEALCOMM PRIVATE LIMITED	TIRUPATI AGENCIES PRIVATE LIMITED	SURYAKANCHAN VINIMAY PRIVATE LIMITE	<a href="#">Click here to go back</a>
PAN (II)		AADCK7022Q	AADCN5282H	AAACT3169Q	AANCS1976K	Total
No. of the Shareholders (I)	196	1	1	1	1	679
No. of fully paid up equity shares held (IV)	25331742	4041243	4260000	7695000	4060000	50803577
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	25331742	4041243	4260000	7695000	4060000	50803577
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.37	1.65	1.74	3.15	1.66	20.8
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	25331742	4041243	4260000	7695000	4060000	50803577
Class eg:y						
Total	25331742	4041243	4260000	7695000	4060000	50803577
Total as a % of Total Voting rights	10.37	1.65	1.74	3.15	1.66	20.8
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	10.37	1.65	1.74	3.15	1.66	20.8

capital) (XI)= (VII)+(X) As a % of (A+B+C2)						
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	23077000
As a % of total Shares held (b)	0	0	0	0	0	45.42
Number of equity shares held in dematerialized form (XIV)	25254742	4041243	4260000	7695000	4060000	50725377
Reason for not providing PAN						
Reason for not providing PAN						

