

<b>General information about company</b>	
Scrip code	503310
NSE Symbol	SWANENERGY
MSEI Symbol	NOTLISTED
ISIN	INE665A01038
Name of the company	SWAN ENERGY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	13	158500000			158500000	64.89	158500000		158500000	64.89
(B)	Public	7644	85757000			85757000	35.11	85757000		85757000	35.11
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	7657	244257000			244257000	100	244257000		244257000	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				64.89			43680385	27.56	158500000
(B)	Public				35.11					84099200
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			43680385	17.88	242599200

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	86500			86500	0.04	86500		86500	0.04
(d)	Any Other (specify)	10	158413500			158413500	64.86	158413500		158413500	64.86
Sub-Total (A)(1)		13	158500000			158500000	64.89	158500000		158500000	64.89
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	158500000			158500000	64.89	158500000		158500000	64.89
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	53			53	0	53		53	0
(e)	Foreign Portfolio Investors	11	21308836			21308836	8.72	21308836		21308836	8.72
(f)	Financial Institutions/ Banks	8	117867			117867	0.05	117867		117867	0.05
(g)	Insurance Companies	3	9413090			9413090	3.85	9413090		9413090	3.85
Sub-Total (B)(1)		23	30839846			30839846	12.63	30839846		30839846	12.63
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7180	4894333			4894333	2	4894333		4894333	2
(e)	Any Other (specify)	441	50022821			50022821	20.48	50022821		50022821	20.48
Sub-Total (B)(3)		7621	54917154			54917154	22.48	54917154		54917154	22.48
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		7644	85757000			85757000	35.11	85757000		85757000	35.11
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		7657	244257000			244257000	100	244257000		244257000	100
Total (A+B+C)		7657	244257000			244257000	100	244257000		244257000	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.04			0	0	86500
(d)				64.86			43680385	27.57	158413500
Sub-Total (A)(1)				64.89			43680385	27.56	158500000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				64.89			43680385	27.56	158500000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					53
(e)				8.72					21308836
(f)				0.05					22967
(g)				3.85					9412790
Sub-Total (B)(1)				12.63					30744646
(3)	Non-institutions								
(a(i))				2					3409933
(e)				20.48					49944621
Sub-Total (B)(3)				22.48					53354554
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				35.11					84099200
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					242599200
Total (A+B+C)				100			43680385	17.88	242599200

<b>Individuals/Hindu undivided Family</b>				
Searial No.	1	2	3	
Name of the Shareholders (I)	VINITA NIKHIL MERCHANT	BHAVIK NIKHIL MERCHANT	NIKHIL VASANTLAL MERCHANT	Click here to go back
PAN (II)	APZPM3319J	APYPM9298M	AAEPM1722D	Total
No. of fully paid up equity shares held (IV)	41500	41000	4000	86500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	41500	41000	4000	86500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.02	0	0.04
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg:X	41500	41000	4000	86500
Class eg:y				
Total	41500	41000	4000	86500
Total as a % of Total Voting rights	0.02	0.02	0	0.04
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.02	0	0.04
<b>Number of Locked in shares (XII)</b>				
No. (a)				
As a % of total Shares held (b)				
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>				
No. (a)	0	0	0	0

As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41500	41000	4000	86500
Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter	Promoter	Promoter	

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	DAVE IMPEX PRIVATE LIMITED	SWAN REALTORS PRIVATE LIMITED	SWAN ENGITECH WORKS PRIVATE LIMITED	DAVE LEASING AND HOLDINGS PRIVATE LIMITED	SAHAJANAND SOAPS AND CHEMICALS PVT LTD	DHANKALASH TRADECOMM PRIVATE LIMITED	FORCEFUL VINCOM PRIVATE LIMITED
PAN (II)	AAACD1314M	AAFCS3263D	AAACS6331H	AABCD3185F	AACCS7368A	AADCD1886F	AABCF3799H
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	46030400	41589000	38402858	7440800	6610000	4000000	4000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+(VI)	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.85	17.03	15.72	3.05	2.71	1.64	1.64
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Class eg:y							
Total	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Total as a % of Total Voting rights	18.85	17.03	15.72	3.05	2.71	1.64	1.64
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	18.85	17.03	15.72	3.05	2.71	1.64	1.64

capital) (XI)= (VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	43680385	0	0	0	0	0	0
As a % of total Shares held (b)	94.89	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	46030400	41589000	38402858	7440800	6610000	4000000	4000000
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

<b>Any Other (specify)</b>				
Serial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	INDERLOK DEALCOMM PRIVATE LIMITED	BANSHIDHAR TRADERS PRIVATE LIMITED	SWAN INTERNATIONAL LIMITED	
PAN (II)	AACCI1061N	AADC8055E	AAECS4774Q	Total
No. of the Shareholders (I)	1	1	1	10
No. of fully paid up equity shares held (IV)	4000000	4000000	2340442	158413500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4000000	4000000	2340442	158413500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.64	1.64	0.96	64.86
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	4000000	4000000	2340442	158413500
Class eg:y				
Total	4000000	4000000	2340442	158413500
Total as a % of Total Voting rights	1.64	1.64	0.96	64.86
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.64	1.64	0.96	64.86
<b>Number of Locked in shares (XII)</b>				
No. (a)				

As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	43680385
As a % of total Shares held (b)	0	0	0	27.57
Number of equity shares held in dematerialized form (XIV)	4000000	4000000	2340442	158413500
Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter Group	Promoter Group	Promoter Group	

<b>Foreign Portfolio Investors</b>					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	ARES DIVERSIFIED	ALBULA INVESTMENT FUND LTD	HYPNOS FUND LIMITED	EOS MULTI STRATEGY FUND LTD	<a href="#">Click here to go back</a>
PAN (II)	AAHCA2144B	AAHCA3597Q	AACCH6785N	AADCE0686N	Total
No. of fully paid up equity shares held (IV)	8572335	5493300	3653858	2905401	20624894
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	8572335	5493300	3653858	2905401	20624894
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.51	2.25	1.5	1.19	8.44
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	8572335	5493300	3653858	2905401	20624894
Class eg:y					
Total	8572335	5493300	3653858	2905401	20624894
Total as a % of Total Voting rights	3.51	2.25	1.5	1.19	8.44
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.51	2.25	1.5	1.19	8.44
<b>Number of Locked in shares (XII)</b>					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in	8572335	5493300	3653858	2905401	20624894

dematerialized form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					

<b>Insurance Companies</b>		
Serial No.	1	
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	<a href="#">Click here to go back</a>
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	9412790	9412790
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	9412790	9412790
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.85	3.85
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	9412790	9412790
Class eg:y		
Total	9412790	9412790
Total as a % of Total Voting rights	3.85	3.85
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.85	3.85
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in	9412790	9412790

dematerialized form (XIV)		
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	LLP	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	Non-Resident Indian (NRI)
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)			TIRUPATI AGENCIES PRIVATE LIMITED	NEEDFUL DEALCOMM PRIVATE LIMITED	KASTURI VINTRADE PRIVATE LIMITED		
PAN (II)			AAACT3169Q	AADCN5282H	AADCK7022Q		
No. of the Shareholders (I)	3	137	1	1	1	70	89
No. of fully paid up equity shares held (IV)	433907	24497424	7695000	4099000	4024547	1683294	162162
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+(VI)	433907	24497424	7695000	4099000	4024547	1683294	162162
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.18	10.03	3.15	1.68	1.65	0.69	0.07
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	433907	24497424	7695000	4099000	4024547	1683294	162162
Class eg:y							
Total	433907	24497424	7695000	4099000	4024547	1683294	162162
Total as a % of Total Voting rights	0.18	10.03	3.15	1.68	1.65	0.69	0.07
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	0.18	10.03	3.15	1.68	1.65	0.69	0.07

capital) (XI)= (VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	433907	24420424	7695000	4099000	4024547	1683294	162162
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)					
Searial No.	8	9	10	11	
Category	Others	Others	Trusts	HUF	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)	2I CAPITAL PCC - Foreign Company	2I CAPITAL PCC - Foreign Company			<a href="#">Click here to go back</a>
PAN (II)		AAACZ2718B			Total
No. of the Shareholders (I)	1	1	2	139	441
No. of fully paid up equity shares held (IV)	23077000	23077000	1400	167634	50022821
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	23077000	23077000	1400	167634	50022821
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.45	9.45	0	0.07	20.49
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	23077000	23077000	1400	167634	50022821
Class eg:y					
Total	23077000	23077000	1400	167634	50022821
Total as a % of Total Voting rights	9.45	9.45	0	0.07	20.49
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.45	9.45	0	0.07	20.49
Number of Locked in shares (XII)					

No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	23077000	23077000	200	167634	49944621
Reason for not providing PAN					
Reason for not providing PAN					

