

General information about company	
Scrip code	503310
Name of the company	SWAN ENERGY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	13	158500000			158500000	64.89	158500000		158500000	64.89
(B)	Public	11115	85757000			85757000	35.11	85757000		85757000	35.11
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	11128	244257000			244257000	100	244257000		244257000	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				64.89	0	0	54480385	34.37	158500000
(B)	Public				35.11	23077000	26.91			84057300
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	23077000	9.45	54480385	22.3	242557300

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	86500			86500	0.04	86500		86500	0.04
(d)	Any Other (specify)	10	158413500			158413500	64.86	158413500		158413500	64.86
Sub-Total (A)(1)		13	158500000			158500000	64.89	158500000		158500000	64.89
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	158500000			158500000	64.89	158500000		158500000	64.89
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	8	21792275			21792275	8.92	21792275		21792275	8.92
(f)	Financial Institutions/ Banks	10	455791			455791	0.19	455791		455791	0.19
(g)	Insurance Companies	3	5613090			5613090	2.3	5613090		5613090	2.3
Sub-Total (B)(1)		21	27861156			27861156	11.41	27861156		27861156	11.41
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10285	6609194			6609194	2.71	6609194		6609194	2.71
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	516260			516260	0.21	516260		516260	0.21
(e)	Any Other (specify)	807	50770390			50770390	20.79	50770390		50770390	20.79
Sub-Total (B)(3)		11094	57895844			57895844	23.7	57895844		57895844	23.7
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		11115	85757000			85757000	35.11	85757000		85757000	35.11
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		11128	244257000			244257000	100	244257000		244257000	100
Total (A+B+C)		11128	244257000			244257000	100	244257000		244257000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.04	0	0	0	0	86500
(d)				64.86	0	0	54480385	34.39	158413500
Sub-Total (A)(1)				64.89	0	0	54480385	34.37	158500000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				64.89	0	0	54480385	34.37	158500000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				8.92	0	0			21792275
(f)				0.19	0	0			360891
(g)				2.3	0	0			5612790
Sub-Total (B)(1)				11.41	0	0			27765956
(3)	Non-institutions								
(a(i))				2.71	0	0			5082894
(a(ii))				0.21	0	0			516260
(e)				20.79	23077000	45.45			50692190
Sub-Total (B)(3)				23.7	23077000	39.86			56291344
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				35.11	23077000	26.91			84057300
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	23077000	9.45			242557300
Total (A+B+C)				100	23077000	9.45	54480385	22.3	242557300

Individuals/Hindu undivided Family				
Serial No.	1	2	3	
Name of the Shareholders (I)	BHAVIK NIKHIL MERCHANT	VINITA NIKHIL MERCHANT	NIKHIL VASANTLAL MERCHANT	Click here to go back
PAN (II)	APYPM9298M	APZPM3319J	AAEPM1722D	Total
No. of fully paid up equity shares held (IV)	41000	41500	4000	86500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	41000	41500	4000	86500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.02	0	0.04
Number of Voting Rights held in each class of securities (IX)				
Class eg:X	41000	41500	4000	86500
Class eg:y				
Total	41000	41500	4000	86500
Total as a % of Total Voting rights	0.02	0.02	0	0.04
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.02	0	0.04
Number of Locked in shares (XII)				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41000	41500	4000	86500
Reason for not providing PAN				

Reason for not providing PAN				
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Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	SWAN REALTORS PRIVATE LIMITED	DAVE IMPEX PRIVATE LIMITED	SWAN ENGITECH WORKS PRIVATE LIMITED	DAVE LEASING AND HOLDINGS PRIVATE L	SAHAJANAND SOAPS AND CHEMICALS PVT	SWAN INTERNATIONAL LIMITED	DHANKALASH TRADECOMM PRIVATE LIMITE
PAN (II)	AAFCS3263D	AAACD1314M	AAACS633IH	AABCD3185F	AACCS7368A	AAECS4774Q	AADCD1886F
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+(VI)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.03	18.85	15.72	3.05	2.71	0.96	1.64
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Class eg:y							
Total	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Total as a % of Total Voting rights	17.03	18.85	15.72	3.05	2.71	0.96	1.64
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	17.03	18.85	15.72	3.05	2.71	0.96	1.64

(VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	9500000	44980385	0	0	0	0	0
As a % of total Shares held (b)	22.84	97.72	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Reason for not providing PAN							

Any Other (specify)				
Searial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	FORCEFUL VINCOM PRIVATE LIMITED	BANSHIDHAR TRADERS PRIVATE LIMITED	INDERLOK DEALCOMM PRIVATE LIMITED	
PAN (II)	AABCF3799H	AADC8055E	AACCI1061N	Total
No. of the Shareholders (I)	1	1	1	10
No. of fully paid up equity shares held (IV)	4000000	4000000	4000000	158413500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4000000	4000000	4000000	158413500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.64	1.64	1.64	64.86
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4000000	4000000	4000000	158413500
Class eg:y				
Total	4000000	4000000	4000000	158413500
Total as a % of Total Voting rights	1.64	1.64	1.64	64.86
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.64	1.64	1.64	64.86
Number of Locked in shares (XII)				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	54480385
As a % of total Shares held (b)	0	0	0	34.39

Number of equity shares held in dematerialized form (XIV)	4000000	4000000	4000000	158413500
Reason for not providing PAN				

Foreign Portfolio Investors					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	ALBULA INVESTMENT FUND LTD	ARES DIVERSIFIED	EOS MULTI STRATEGY FUND LTD	HYPNOS FUND LIMITED	Click here to go back
PAN (II)	AAHCA3597Q	AAHCA2144B	AADCE0686N	AACCH6785N	Total
No. of fully paid up equity shares held (IV)	5591800	8608335	3092000	3720278	21012413
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+(VI)	5591800	8608335	3092000	3720278	21012413
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.29	3.52	1.27	1.52	8.6
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	5591800	8608335	3092000	3720278	21012413
Class eg:y					
Total	5591800	8608335	3092000	3720278	21012413
Total as a % of Total Voting rights	2.29	3.52	1.27	1.52	8.6
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.29	3.52	1.27	1.52	8.6
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	5591800	8608335	3092000	3720278	21012413
Reason for not providing PAN					
Reason for not providing PAN					

Insurance Companies		
Serial No.	1	
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	Click here to go back
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	5612790	5612790
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5612790	5612790
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.3	2.3
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5612790	5612790
Class eg:y		
Total	5612790	5612790
Total as a % of Total Voting rights	2.3	2.3
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.3	2.3
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	5612790	5612790
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	NRI – Repat	NRI – Non-Repatriate	Trusts	HUF	Clearing Members	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)							TIRUPATI AGENCIES PRIVATE LIMITED
PAN (II)							AAACT3169Q
No. of the Shareholders (I)	64	24	4	267	205	243	1
No. of fully paid up equity shares held (IV)	35507	17137	1700	446076	1227017	49042953	7695000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	35507	17137	1700	446076	1227017	49042953	7695000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0.01	0	0.18	0.5	20.08	3.15
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	35507	17137	1700	446076	1227017	49042953	7695000
Class eg:y							
Total	35507	17137	1700	446076	1227017	49042953	7695000
Total as a % of Total Voting rights	0.01	0.01	0	0.18	0.5	20.08	3.15
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	0.01	0	0.18	0.5	20.08	3.15
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	35507	17137	500	446076	1227017	48965953	7695000

Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)					
Searial No.	8	9	10	11	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding				
Name of the Shareholders (I)	KASTURI VINTRADE PRIVATE LIMITED	NEEDFUL DEALCOMM PRIVATE LIMITED	SURYAKANCHAN VINIMAY PRIVATE LIMITE	2i CAPITAL PCC - Foreign Company	Click here to go back
PAN (II)	AADCK7022Q	AADCN5282H	AANCS1976K	AAACZ2718B	Total
No. of the Shareholders (I)	1	1	1	1	807
No. of fully paid up equity shares held (IV)	4024547	5060000	5060000	23077000	50770390
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4024547	5060000	5060000	23077000	50770390
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.65	2.07	2.07	9.45	20.78
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	4024547	5060000	5060000	23077000	50770390
Class eg:y					
Total	4024547	5060000	5060000	23077000	50770390
Total as a % of Total Voting rights	1.65	2.07	2.07	9.45	20.78
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.65	2.07	2.07	9.45	20.78
Number of Locked in shares (XII)					
No. (a)	0	0	0	23077000	0
As a % of total Shares held (b)	0	0	0	100	0
Number of equity shares held in	4024547	5060000	5060000	23077000	50692190

dematerialized form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					

