

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Swan Energy Limited**
 2. Quarter ending - **31-Dec-2022**

i. Composition Of Board of Director

Title (Mr./Ms.)	Name of the Director	DIN	PAN	Category (Chairpersons /Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee (s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Navinbhai C. Dave	01787259	AABPD6262G	C, NED		16-Nov-1998				25-Oct-1938	No				Active	Yes	24-May-2019	1	0	1	1	SC	
Mr.	Nikhil V. Merchant	00614790	AAEPM1722D	ED	MD	25-Mar-1992	11-Sep-2019			06-Jul-1960	No				Active	NA		1	0	0	0		
Mr.	Paresh V. Merchant	00660027	AAJPM7513K	ED		23-Nov-1998	11-Sep-2019			19-Sep-1963	No				Active	NA		1	0	1	0	SC, RC	
Mr.	Padmanabhan Sugavanam	03229120	AAMPP4751B	ED		24-Sep-2010	28-Sep-2020			06-Jun-1945	No				Active	NA		1	0	0	0		
Mr.	Pitamber Teckchandani	00319820	ACUPT6610M	ID		29-Sep-2014	29-Sep-2019		60	07-Feb-1938	No				Active	Yes	24-May-2019	1	1	0	0	AC, NRC	
Mr.	Shobhan I. Diwanji	01667803	AAEPD9990Q	ID		29-Sep-2014	29-Sep-2019		60	18-Aug-1955	No				Active	NA		2	2	2	1	AC, RC, NRC	
Mr.	Rajat Kumar Dasgupta	01725758	AAAPD3186C	ID		29-Sep-2014	29-Sep-2019		60	02-Nov-1936	No				Active	Yes	24-May-2019	1	1	0	0		
Mrs.	Surekha Oak	07122776	AACPO3528R	ID		13-Mar-2015	13-Mar-2020		60	25-Sep-1954	No				Active	NA		1	1	2	0	AC, SC, RC, NRC	
Mr.	Rohinton Eruch Shroff	00234712	AAFPS3351R	ID		23-Aug-2022	28-Sep-2022		60	20-Aug-1950	No				Active	Yes	28-Sep-2022	1	1	0	0		

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees**a. Audit Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Shobhan I. Diwanji	ID	Chairperson	30-Mar-2015	
2	Surekha Oak	ID	Member	30-Mar-2015	
3	Pitamber Teckchandani	ID	Member	24-Jun-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Navinbhai C. Dave	C, NED	Chairperson	13-Jul-2016	
2	Paresh V. Merchant	ED	Member	13-Mar-2015	
3	Surekha Oak	ID	Member	13-Mar-2015	

Company Remarks	
Whether Regular chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Paresh V. Merchant	ED	Chairperson	30-May-2019	
2	Surekha Oak	ID	Member	30-May-2019	
3	Shobhan I. Diwanji	ID	Member	24-Jun-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Shobhan I. Diwanji	ID	Chairperson	30-Mar-2015	
2	Surekha Oak	ID	Member	30-Mar-2015	
3	Pitamber Teckchandani	ID	Member	24-Jun-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
01-Aug-2022	Yes	8	5	2
09-Aug-2022	Yes	8	8	4
23-Aug-2022	Yes	9	7	3
28-Sep-2022	Yes	9	6	3
14-Nov-2022	Yes	9	8	4
27-Dec-2022	Yes	9	6	3

Company Remarks	
Maximum gap between any two consecutives (in number of days)	46

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	09-Aug-2022	Yes	3	3	3	0
Audit Committee	14-Nov-2022	Yes	3	3	2	0
Stakeholders Relationship Committee	09-Aug-2022	Yes	3	3	1	0
Stakeholders Relationship Committee	14-Nov-2022	Yes	3	3	3	0
Risk Management Committee	23-Aug-2022	Yes	3	2	1	0
Nomination & Remuneration Committee	27-Nov-2022	Yes	3	2	2	0

Company Remarks	
Maximum gap between any two consecutives (in number of days) [Only for Audit Committee]	96

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders' relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 1000 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : Arun S. Agarwal
Designation : Company Secretary & Compliance Officer