

General information about company	
Scrip code	503310
Name of the entity	Swan Energy Limited
Date of start of financial year	01-04-2016
Date of end of financial year	31-03-2017
Reporting Quarter	Half Yearly
Date of Report	30-09-2016
Risk management committee	Not Applicable

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Is there any change in information of board of directors compare to previous quarter

Yes

Sr	Title (Mr/ Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
1	Mr	Navinbhai C. Dave	AABPD6262G	01787259	Non-Executive - Non Independent Director	Chairperson		16-11-1998			1	1	1	
2	Mr	Nikhil V. Merchant	AAEPM1722D	00614790	Executive Director	Not Applicable	MD	25-03-1992			1	0	0	
3	Mr	Paresh V. Merchant	AAJPM7513K	00660027	Executive Director	Not Applicable		23-11-1998			1	1	0	
4	Mr	Padmanabhan Sugavanam	AAMPP4751B	03229120	Executive Director	Not Applicable		24-09-2010			1	0	0	

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
5	Mr	Vilas Anant Gangan	AABPG1486B	00281984	Executive Director	Not Applicable		01-01-2014	01-08-2016		1	0	0	
6	Mr	Rajkumar Sukhdevsinhji	AAMPJ1654K	00372612	Non-Executive - Independent Director	Not Applicable		29-09-2014		60	1	1	0	
7	Mr	Pitamber Teckchandani	ACUPT6610M	00319820	Non-Executive - Independent Director	Not Applicable		29-09-2014		60	1	1	0	
8	Mr	Nagardas Panchal	AAWPP8011G	01787226	Non-Executive - Independent Director	Not Applicable		29-09-2014	05-07-2016	60	1	0	0	

Annexure I														
Annexure I to be submitted by listed entity on quarterly basis														
I. Composition of Board of Directors														
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
9	Mr	Shobhan I. Diwanji	AAEPD9990Q	01667803	Non-Executive - Independent Director	Not Applicable		29-09-2014		60	1	1	0	
10	Mr	Rajat kumar Das	AAAPD3186C	01725758	Non-Executive - Independent Director	Not Applicable		29-09-2014		60	1	0	0	
11	Mrs	Surekha Oak	AACPO3528R	07122776	Non-Executive - Independent Director	Not Applicable		13-03-2015		60	1	2	1	

Annexure 1					
II. Composition of Committees					
Disclosure of notes on composition of committees explanatory					
Is there any change in information of committees compare to previous quarter					Yes
Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
1	Corporate Social Responsibility Committee	Paresh Merchant	Executive Director	Chairperson	
2	Corporate Social Responsibility Committee	Pitamber Teckchandani	Non-Executive - Independent Director	Member	
3	Corporate Social Responsibility Committee	Rajkumar Sukhdevsinhji	Non-Executive - Independent Director	Member	
4	Audit Committee	Surekha Oak	Non-Executive - Independent Director	Chairperson	
5	Audit Committee	Shobhan Diwanji	Non-Executive - Independent Director	Member	
6	Audit Committee	Rajkumar sukhdevsinhji	Non-Executive - Independent Director	Member	
7	Stakeholders Relationship Committee	Paresh Merchant	Executive Director	Member	
8	Stakeholders Relationship Committee	Navinbhai Dave	Non-Executive - Non Independent Director	Chairperson	
9	Stakeholders Relationship Committee	Surekha Oak	Non-Executive - Independent Director	Member	
10	Nomination and remuneration committee	Surekha Oak	Non-Executive - Independent Director	Chairperson	

Annexure 1					
II. Composition of Committees					
Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
11	Nomination and remuneration committee	Shobhan Diwanji	Non-Executive - Independent Director	Member	
12	Nomination and remuneration committee	Pitamber Teckchandani	Non-Executive - Independent Director	Member	

Annexure 1			
Annexure 1			
III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	20-04-2016		
2	30-05-2016		39
3		13-07-2016	43
4		12-08-2016	29

Annexure 1							
IV. Meeting of Committees							
Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	12-08-2016	Yes		30-05-2016	73	
2	Stakeholders Relationship Committee	12-08-2016	Yes		30-05-2016	73	

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure III				
Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year				
I. Affirmations				
Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
	Any other information to be provided			

Signatory Details	
Name of signatory	Arun Agarwal
Designation of person	Compliance Officer
Place	Mumbai
Date	13-10-2016

