

SWAN ENERGY LIMITED

Name of the Company

503310 Quarter Ended

30/06/2012

Scrip Code

Partly Paid-up Shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total			

Outstanding convertible securities :-	No. of outstanding convertible securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total			

Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of the warrants
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total			

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	95000000
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Category Code	Category Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered
					As a percentage of (A+B)	As a percentage of (A+B+C)	
(A)	Shareholding of Promoter and Promoter Group						
1	Indian						
(a)	Individuals / Hindu Undivided Family	0	0	0	0.00	0.00	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0.00
(c)	Bodies Corporate	8	71206750	71206750	74.95	74.95	10.11
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00	0.00
(e)	Any Other (Specify)	1	2000	2000	0.00	0.00	0.00
	(a) Directors	2	41250	41250	0.04	0.04	0.00
	(b) Directors Relative	0	0	0	0.00	0.00	0.00
	(c) Person Acting in Concert	0	0	0	0.00	0.00	0.00
	Sub Total (A)(1)	11	71250000	71250000	75.00	75.00	10.11
2	Foreign						
(a)	Individuals(Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0.00
(c)	Institutions	0	0	0	0.00	0.00	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0.00
(e)	Any Other (Specify)	0	0	0	0.00	0.00	0.00
	Sub Total (A)(2)	0	0	0	0.00	0.00	0.00
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	11	71250000	71250000	75.00	75.00	0.00



Category code	Category Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total Shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered
					As a percentage of (A+B)	As a percentage of (A+B+C)	
(B)	Public Shareholding						
1	Institutions						
(a)	Mutual Funds / UTI	0	0	0	0.00	0.00	
(b)	Financial Institutions / Banks	7	156845	109395	0.17	0.17	
(c)	Central Government / State Government(s)	0	0	0	0.00	0.00	
(d)	Venture Capital Funds	0	0	0	0.00	0.00	
(e)	Insurance Companies	2	150	0	0.00	0.00	
(f)	Foreign Institutional Investors	3	8323271	8323271	8.76	8.76	
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	
(i)	Any Other (Specify)	0	0	0	0.00	0.00	
	Sub Total (B)(1)	12	8480266	8432666	8.93	8.93	N.A.
2	Non-Institutions						
(a)	Bodies Corporate	241	8084474	7997974	8.51	8.51	
(b)	Individuals	7243	3622769	2744269	3.81	3.81	
(i)	Individual Shareholders holding nominal share capital up to Rs. 1 lakh	6	1369344	1369344	1.44	1.44	
(ii)	Individual Shareholders holding nominal share capital in excess of Rs. 1 lakh	0	0	0	0.00	0.00	
(c)	Qualified Foreign Investor	48	1681453	1681453	1.77	1.77	
(d)	Any Other (Specify)	0	0	0	0.00	0.00	
	(a) N.R.I. (Repat & Non-Repat.)	4	1050	450	0.00	0.00	
	(b) Foreign Corporate Bodies	156	390279	390279	0.41	0.41	
	(c) Trust	0	0	0	0.00	0.00	
	(d) Hindu Undivided Family	69	120365	120365	0.13	0.13	
	(e) Employee						
	(f) Clearing Members						
	(g) Clearing Members						
	(h) Clearing Members						
	Sub Total (B)(2)	7767	15269734	14304134	16.07	16.07	N.A.
	Total Public Shareholding (B)=(B)(1)+(B)(2)	7779	23750000	22736800	25.00	25.00	
	TOTAL (A)+(B)	7790	95000000	93986800	100.00	100.00	N.A.
(C)	Shares held by Custodians and against Depository Receipts have been issued						
1)	Promoter and Promoter Group	0	0	0	0.00	0.00	N.A.
2)	Public	0	0	0	0.00	0.00	N.A.
	Sub-Total (C)	0	0	0	0.00	0.00	N.A.
	GRAND TOTAL (A)+(B)+(C)	7790	95000000	93986800	100.00	100.00	N.A.

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the Shareholder	Details of Shares held		Encumbered shares (%)		Details of Warrants		Details of convertible securities		Total Shares (Including underlying shares assuming full conversion of warrants and convertible securities as a % diluted share capital	
		Number of shares held	as a % of Grand Total (A)+(B)+(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (D)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of Convertible securities held		As a % total number of convertible securities of the same class
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	DAVE INFEX PRIVATE LIMITED	23015200	24.22	7200000	31.28	7.58	0	0.00	0	0.00	24.22
2	SWAN ENGETECH WORKS PRIVATE LIMITED	12700600	13.36	0	0.00	0.00	0	0.00	0	0.00	13.36
3	SWAN REALTORS PRIVATE LIMITED	12600000	12.63	0	0.00	0.00	0	0.00	0	0.00	12.63
4	SWAN REALTORS PRIVATE LIMITED	8794500	9.25	0	0.00	0.00	0	0.00	0	0.00	9.25
5	SWAN ENGETECH WORKS PRIVATE LIMITED	6501429	6.84	0	0.00	0.00	0	0.00	0	0.00	6.84
6	DAVE LEASING AND HOLDINGS PRIVATE L	3720400	3.91	0	0.00	0.00	0	0.00	0	0.00	3.91
7	SAHAWAHAD SOAPS AND CHEMICALS PVT	3305000	3.47	0	0.00	0.00	0	0.00	0	0.00	3.47
8	SWAN INTERNATIONAL LIMITED	1170221	1.23	0	0.00	0.00	0	0.00	0	0.00	1.23
9	VINITA NIKHIL MERCHANT	20750	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02
10	BHAWIK NIKHIL MERCHANT	20500	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02
11	NIKHIL VASANTLAL MERCHANT	2000	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
	TOTAL	71250000	74.99	7200000	10.11	7.58	0	0.00	0	0.00	74.99

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulation, 2011

