

o/c

# Swan Energy Limited

(Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001.  
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Swan/bse

15<sup>th</sup> July, 2013

Dept. of Corporate Service,  
Bombay Stock Exchange Limited,  
P.J. Tower, Dalal Street, Fort,  
Mumbai – 400 001.

Dear Sir,

Company code 50 3310

Sub: Shareholding Pattern for the Quarter ended 30<sup>th</sup> June, 2013

Pursuant to Clause 35 of the Listing Agreement, we are enclosing herewith the following:

1. Shareholding pattern of the Company as on 30.06.2013;
2. List of shareholders holding more than 1% of shares of the capital of the Company.

Please find the above in order and acknowledge the receipt

Thanking you,

Yours faithfully,  
For Swan Energy Limited

*Arun S. Agarwal*

(Arun S. Agarwal)  
Company Secretary

Encl: As above

C.C.  
Dy. Secretary  
The Calcutta Stock Exchange Association Ltd.,  
7, Lyons Range, Kolkatta 700 001



Name of the Company	SWAN ENERGY LIMITED		
Scrip Code	503310	Quarter Ended	30/06/2013

Partly Paid-up Shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/ promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total			

Outstanding convertible securities :-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/ promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total			

Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of the warrants
Held by promoter/ promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total			

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	95000000
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(1)(a) Statement showing Shareholding Pattern under Clause 35 of the Listing Agreement

Name of the Company	SWAN ENERGY LIMITED	
Script Code	503310	Quarter Ended 30/06/2013

Category code	Category Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total Shareholding of total number of shares		Shares pledged or otherwise encumbered
					As a Percentage of (A+B)	As a Percentage of (A+B+C)	
(A)	Shareholding of Promoter and Promoter Group						
1	Indian						
(a)	Individuals / Hindu Undivided Family	0	0	0	0.00	0.00	0.00
(b)	Central Government(s)	0	0	0	0.00	0.00	0.00
(c)	Bodies Corporate	6	71206750	71206750	74.95	74.95	30.47
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00	0.00
(e)	Any Other (Specify)						
	(a) Directors	1	2000	2000	0.00	0.00	0.00
	(b) Directors Relative	2	41250	41250	0.04	0.04	0.00
	(c) Person Acting in Concert	0	0	0	0.00	0.00	0.00
	Sub Total (A)(1)	9	71250000	71250000	75.00	75.00	30.45
2	Foreign						
(a)	Individuals(Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0.00
(c)	Institutions	0	0	0	0.00	0.00	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0.00
(e)	Any Other (Specify)	0	0	0	0.00	0.00	0.00
	Sub Total (A)(2)	0	0	0	0.00	0.00	***.***
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	9	71250000	71250000	75.00	75.00	0.00

Name of the Company		SWAN ENERGY LIMITED				
Scrip Code		503310				
Quarter Ended		30/09/2013				
Category	Category Shareholder	Number of Shareholders of shares	Total number of shares	Number of shares held in dematerialized form	Total Shareholding as a percentage of total number of shares	Shares pledged or otherwise encumbered
code					As a percentage of (A+B)	Number of shares
					As a percentage of (A+B+C)	As a percentage
(B)	Public Shareholding					N.A.
1	Institutions					N.A.
(a)	Mutual Funds / UTI	1	555	555	0.00	
(b)	Financial Institutions / Banks	7	70940	23490	0.07	
(c)	Central Government / State Government(s)	0	0	0	0.00	
(d)	Venture Capital Funds	0	0	0	0.00	
(e)	Insurance Companies	3	2806545	2806395	2.95	
(f)	Foreign Institutional Investors	5	10031400	10031400	10.56	
(g)	Foreign Venture Capital Investors	0	0	0	0.00	
(h)	Qualified Foreign Investor	0	0	0	0.00	
(i)	Any Other (Specify)	0	0	0	0.00	
	Sub Total (B)(1)	16	12909440	12861840	13.59	N.A.
2	Non-Institutions					N.A.
(a)	Bodies Corporate	166	6501608	6415108	6.84	
(b)	Individuals	6399	2672467	1821969	2.81	
(1)	Individual Shareholders holding nominal share capital up to Rs. 1 lakh	3	717842	717842	0.76	
(11)	Individual Shareholders holding nominal share capital in excess of Rs. 1 lakh	0	0	0	0.00	
(c)	Qualified Foreign Investor	38	389180	389180	0.41	
(d)	Any Other (Specify)	0	0	0	0.00	
	(a) N.R.I. (Repat & Non-Repat.)	2	1050	450	0.00	
	(b) Foreign Corporate Bodies	122	261768	261768	0.28	
	(c) Trust	0	0	0	0.00	
	(d) Hindu Undivided Family	18	296643	296643	0.31	
	(f) Employee					
	(h) Clearing Members					
	Sub Total (B)(2)	6748	10840560	9902960	11.41	N.A.
	Total Public Shareholding (B) = (B)(1)+(B)(2)	6764	23750000	22764800	25.00	
	TOTAL (A)+(B)	6773	95000000	94014800	100.00	N.A.
(C)	Shares held by Custodians and against Depository Receipts have been issued					
1)	Promoter and Promoter Group	0	0	0	0.00	N.A.
2)	Public	0	0	0	0.00	N.A.
	Sub-Total (C)	0	0	0	0.00	N.A.
	GRAND TOTAL (A)+(B)+(C)	6773	95000000	94014800	100.00	N.A.

Name of the Company	SUAN ENERGY LIMITED		
Scrp Code	503310	Quarter Ended	30/06/2013

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the Shareholder	Details of Shares held		Encumbered shares (*)			Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities as a % diluted share capital)
		Number of shares held	as a % of Grand Total (A)+(B)+(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of Warrants held	As a % total number of warrants of the same class	Number of Convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	DAVE IMPEX PRIVATE LIMITED	23015200	24.22	8695000	37.78	9.15	0	0.00	0	0.00	24.22
2	SUAN REALTORS PRIVATE LIMITED	20794500	21.88	7000000	33.66	7.37	0	0.00	0	0.00	21.88
3	SUAN ENGITECH WORKS PRIVATE LIMITED	19201429	20.21	6000000	31.25	6.32	0	0.00	0	0.00	20.21
4	DAVE LEASING AND HOLDINGS PRIVATE L	3720400	3.91	0	0.00	0.00	0	0.00	0	0.00	3.91
5	SAHAJANAND SOAPS AND CHEMICALS PVT	3305000	3.47	0	0.00	0.00	0	0.00	0	0.00	3.47
6	SUAN INTERNATIONAL LIMITED	1170221	1.23	0	0.00	0.00	0	0.00	0	0.00	1.23
7	VINITA NIKHIL MERCHANT	20750	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02
8	BHAVIK NIKHIL MERCHANT	20500	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02
9	NIKHIL VASANTLAL MERCHANT	2000	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
	TOTAL	71250000	74.99	21695000	30.45	22.84	0	0.00	0	0.00	74.99

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SEST Regulation, 2011

Name of the Company	SMM ENERGY LIMITED		
Scrp Code	505310	Quarter Ended	30/06/2013

(D)(C)(1) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the Shareholder	Total number of shares	Shares as a % of		Details of Warrants		Details of convertible securities		Total Shares (including underlying full conversion of warrants and convertible securities as a % of diluted share capital)
			total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Number of Warrants held	As a % total number of warrants of the same class	Number of Convertible securities held	As a % total number of convertible securities of the same class		
1	TIRUPATI AGENCIES PRIVATE LIMITED	3847500	4.05	0	0.00	0	0.00	4.05	
2	ARES DIVERSIFIED	3491948	3.67	0	0.00	0	0.00	3.67	
3	ALBULA INVESTMENT FUND LTD	2870900	3.02	0	0.00	0	0.00	3.02	
4	LIFE INSURANCE CORPORATION OF INDIA	2806395	2.95	0	0.00	0	0.00	2.95	
5	HYPROS FUND LIMITED	1902889	2.00	0	0.00	0	0.00	2.00	
6	RESONANCE OPPORTUNITIES FUND	1740763	1.83	0	0.00	0	0.00	1.83	
Σ	TOTAL	16660295	17.53	0	0.00	0	0.00	17.53	

Name of the Company	SUN ENERGY LIMITED		
Scrp Code	503310	Quarter Ended	30/06/2013

(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sl. No.	Name(s) of the Shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of Warrants held	As a % total number of warrants of the same class	Number of Convertible securities held	% v.r.t. total number of convertible securities of the same class	
1	NIL	0	0.00	0	0.00	0	0.00	0.00
3	TOTAL	0	0.00					0.00

Name of the Company	SMM ENERGY LIMITED		
Scrip Code	503310	Quarter Ended	30/06/2013

Statement showing details of locked-in shares

Sr. No.	Name of the Shareholder	Total number of shares	Shares as a % of		Promoter / Promoter Group / Public
			Total number of shares i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a)	Total number of shares i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a)	
1	NIL	0	0.00		
	TOTAL	0	0.00		

Name of the Company	SWAN ENERGY LIMITED	
Scrip Code	503310	Quarter Ended 30/06/2013

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Total number of shares	Shares underlying out-standing DRs as a % of total number of shares (i.e., GRAND TOTAL (A)+(B)+(C) indicated in statement at para (I)(a) above)
	NIL	NIL	NIL	NIL
	TOTAL			

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying out-standing DRs as a % of total number of shares (i.e., GRAND TOTAL (A)+(B)+(C) indicated in statement at para (I)(a) above)
	NIL	NIL	NIL	NIL
	TOTAL			