

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
as on financial year ended on 31.03.2018
[Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12 (1)
of The Company (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i	CIN	L17100MH1909PLC000294
ii	Registration Date	22/02/1909
iii	Name of the Company	Swan Energy Limited
iv	Category/Sub-category of the Company	Public
v	Address of the Registered office & contact details	6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai - 400 001.
vi	Whether listed company	Yes
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Purva Sharegistry (India) Private Limited. No.9, Shiv Shakti Industrial Estate, Gr. Floor, J. R.Boricha Marg, Opp. Kasturba Hospital, Lower Parel, Mumbai - 400 011.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

Sl. No	Name and Description of main products / services	NIC Code of the products / services	% to total turnover of the Company
1	Textile	9961	99.60

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of Shares Held	Applicable Section
1	Cardinal Energy & Infrastructure Private Limited (6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai - 400 001.)	U40102MH2008PTC184568	Subsidiary	100	2(87)
2	Pegasus Ventures Private Limited (6, Feltham House, 10 J. N. Heredia Marg, Ballard Estate, Mumbai - 400 001.)	U70102MH2012PTC229686	Subsidiary	100	2(87)
3	Swan LNG Private Limited (9th Avenue, Ground Floor, Behind Rajpat Club, Nemnagar, Bodakdev, Ahmedabad - 380059)	U40108GJ2013PTC073539	Subsidiary	63	2(87)
4	Triumph Offshore Private Limited (9th Avenue, Ground Floor, Behind Rajpat Club, Nemnagar, Bodakdev, Ahmedabad - 380059)	U74999GJ2017PTC097528	Subsidiary	100	2(87)

IV. SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian	86,500	-	86,500	0.04	86,500	-	86,500	0.03	(0.01)
a) Individual/HUF		-				-			
b) Central Govt. or State Govt.		-				-			
c) Bodies Corporates	158,413,500		158,413,500	71.62	158,413,500		158,413,500	64.86	(6.76)
d) Bank/Fl		-				-			
e) Any other									
SUB TOTAL: (A) (1)	158,500,000	-	158,500,000	71.66	158,500,000	-	158,500,000	64.89	(6.77)
(2) Foreign									
a) NRI- Individuals	-	-	-	-	-	-	-	-	-
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/Fl	-	-	-	-	-	-	-	-	-
e) Any other...	-	-	-	-	-	-	-	-	-
SUB TOTAL (A) (2)	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter (A) = (A)(1) + (A)(2)	158,500,000	-	158,500,000	71.66	158,500,000	-	158,500,000	64.89	(6.77)

B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/Fl	341,334	94,900	436,234	0.20	10,740	94,900	105,640	0.04	(0.16)
c) Central govt		-		-			-	-	
d) State Govt.		-		-			-	-	
e) Venture Capital Fund		-		-			-	-	
f) Insurance Companies	5,612,790	300	5,613,090	2.54	9,412,790	300	9,413,090	3.85	1.32
g) FIIS	22,985,061		22,985,061	10.39	21,749,089	-	21,749,089	8.90	(1.49)
h) Foreign Venture Capital Funds		-		-			-	-	
i) Others (specify)		-		-			-	-	
SUB TOTAL (B)(1):	28,939,185	95,200	29,034,385	13.13	31,172,619	95,200	31,267,819	12.80	(0.33)

SWAN ENERGY LIMITED  **ANNUAL REPORT 2017-18**

(2) Non Institutions										
a) Bodies corporates	24,171,871	77,000	24,248,871	10.96	23,526,173	77,000	23,603,173	9.66	(1.30)	
i) Indian		-		-			-	-		
ii) Overseas		-		-			-	-		
b) Individuals		-		-			-	-		
i) Individual shareholders holding nominal share capital upto Rs.2 lakhs	4,645,844	1,567,200	6,213,044	2.81	3,636,308	1,506,400	5,142,708	2.11	(0.70)	
ii) Individuals shareholders holding nominal share capital in excess of Rs.2 lakhs	1,106,852	-	1,106,852	0.50	838,907	-	838,907	0.34	(0.16)	
c) Others (specify)	2,075,648	1,200	2,076,848	0.94	24,903,193	1,200	24,904,393	10.21	9.27	
SUB TOTAL (B)(2):	32,000,215	1,645,400	33,645,615	15.21	52,904,581	1,584,600	54,489,181	22.32	7.11	
Total Public Shareholding (B) = (B)(1) + (B)(2)	60,939,400	1,740,600	62,680,000	28.34	84,077,200	1,679,800	85,757,000	35.12	6.77	
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-	
Grand Total (A+B+C)	219,439,400	1,740,600	221,180,000	100.00	242,577,200	1,679,800	244,257,000	100.00	0.00	

(ii) SHARE HOLDING OF PROMOTERS

SI No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	NO of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Dave Impex Private Limited	46030400	20.81	52.78	46030400	18.85	97.72	0
2	Swan Realtors Private Limited	41589000	18.80	37.34	41589000	17.03	0	0
3	Swan Engitech Works Private Limited	38402858	17.36	0	38402858	15.72	0	0
4	Dave Leasing and Holdings Private Limited	7440800	3.36	0	7440800	3.05	0	0
5	Sahajanand Soaps and Chemicals Private Limited	6610000	2.99	0	6610000	2.71	0	0
6	Forceful Vincom Private Limited	4000000	1.81	0	4000000	1.64	0	0

7	Inderlok Dealcomm Private Limited	4000000	1.81	0	4000000	1.64	0	0
8	Banshidhar Trades Private Limited	4000000	1.81	0	4000000	1.64	0	0
9	Dhankalash Tradecomm Private Limited	4000000	1.81	0	4000000	1.64	0	0
10	Swan International Limited	2340442	1.06	0	2340442	0.96	0	0
11	Vinita Nikhil Merchant	41500	0.02	0	41500	0.02	0	0
12	Bhavik Nikhil Merchant	41000	0.02	0	41000	0.02	0	0
13	Nikhil Vasantlal Merchant	4000	0.00	0	4000	0.00	0	0
	Total	158500000	71.66	18.55	158500000	64.89	28.38	0

(iii) **CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE)**

During the year, there is no change in the promoters' shareholding.

(iv) **Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)**

Sl. No.	Name of the Shareholder	Shareholding at the beginning of the year		Reasons for Change	Shareholding at the end of the year	
		No. of shares	% of total shares of the company		No of shares	% of total shares of the company
1	2i Capital PCC	0	0	Alloted	23077000	9.45
2	Life Insurance Corporation Of India	5612790	2.30	Purchase	9412790	3.85
3	Ares Diversified	8758696	3.59	Sell	8608335	3.52
4	Tirupati Agencies Private Limited	7695000	3.15	-	7695000	3.15
5	Albula Investments Fund LTD	5624800	2.30	Sell	5548800	2.27
6	Needful Dealcomm Private Limited	5060000	2.07	Sell	4060000	1.66
7	Kasturi Vintrade Private Limited	2453561	1.00	Purchase	4024547	1.65
8	Hypnos Fund Limited	3805778	1.56	Sell	3720278	1.52
9	EOS Multi Strategy Fund Ltd	3092000	1.27	-	3092000	1.27
10	Suryakanchan Vnimay Private Limited	5060000	2.07	Sell	2267147	0.93

(v) **Shareholding of Directors & KMP**

Sl. No.	Name of Directors & KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
1	Nikhil V. Merchant				
	At the beginning of the year	4000	0.00	4000	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-	-
	At the end of the year	4000	0.00	4000	0
2	Surekha Oak				
	At the beginning of the year	100	0.00	100	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	-	-	-	-
	At the end of the year	100	0.00	100	0
	KMP	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	NIL	NIL	NIL	NIL	NIL

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtness at the beginning of the financial year				
i) Principal Amount	16,430.12	2,155.24	-	18,585.36
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	16,430.12	2,155.24	-	18,585.36
Change in Indebtedness during the financial year				
Additions	157.99	-		157.99
Reduction	248.02	2,097.37		2,345.39
Net Change	(90.03)	(2,097.37)		(2,187.40)

Indebtedness at the end of the financial year				
i) Principal Amount	16,340.09	57.87	-	16,397.96
ii) Interest due but not paid	-		-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	16,340.09	57.87	-	16,397.96

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager:

Total Amount (₹ in Lakhs)

Sl. No.	Particulars of Remuneration	Name of the MD/WTD/Manager			
		Mr. Nikhil Merchant	Mr. Paresh Merchant	Mr. Padamanabhan sugavanam	Total
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961.	125.84	125.84	31.9	283.58
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961		-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961		-	-	-
2	Stock option		-	-	-
3	Sweat Equity		-	-	-
4	Commission		-	-	-
	as % of profit		-	-	-
	others (specify)		-	-	-
5	Others, please specify		-	-	-
	Total (A)	125.84	125.84	31.9	283.58
	Ceiling as per the Act				

B. Remuneration to other directors:

During the year, no remuneration has been paid to other Directors.

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
1	Gross Salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	-	26.62	68.15	94.77
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	
2	Stock Option	-	-	-	
3	Sweat Equity	-	-	-	
4	Commission	-	-	-	
	as % of profit	-	-	-	
	others, specify	-	-	-	
5	Others, please specify	-	-	-	
	Total	-	26.62	68.15	94.77

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

During the year, there has been no penalties/punishment/compounding of offences against the Company, Directors or other officers.

For and on behalf of the Board of Directors

Mumbai, May 30, 2018

Navinbhai C. Dave
Chairman