



Swan Energy Limited

(Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001.

Tel.: 022-4058 7300 • Fax : +91-22-4058 7360 • Email: swan@swan.co.in

CIN. L17100MH1909PLC000294

TRANSFER OF EQUITY SHARES TO INVESTOR EDUCATION & PROTECTION FUND

This notice is published pursuant to the applicable provisions of the Companies Act, 2013 (“Act”), read with Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 (“Rules”), as amended, the Act and Rules, *inter alia* contain provisions for transfer of unclaimed/unpaid dividend to IEPF and transfer of share(s), both held in physical form and in electronic form, in respect of which dividend(s) had not been paid or claimed by the shareholder(s) for seven consecutive years or more, to IEPF Authority.

In compliance with the Rules, the Company has sent individual communication in physical mode to the concerned shareholder(s) at their last known address registered/available with the RTA of the Company, reminding them to claim such dividend(s). This communication is addressed to the attention of those shareholders, whose dividend(s) remain unpaid/unclaimed consequent to which share(s) of the such holder(s) are liable to be transferred to IEPF on October 27, 2023 as per the aforesaid Rules, shareholders are advised to claim such dividend by October 15, 2023.

The Company has made available, the complete details of concerned shareholders whose shares are liable for transfer to IEPF on its website at <https://swan.co.in/reports>. Shareholders are requested to refer the same to verify details of their unclaimed dividend(s) and their share(s) liable to be transferred to the IEPF.

Shareholder are requested to note that in case the dividend(s) are not claimed by October 15, 2023, those equity share(s) in respect of which the dividend(s) remain unpaid/unclaimed for 7 consecutive years, shall be transferred to IEPF, without any further notice to the shareholders in the following manner:

In case equity shares are held:

In physical form: new share certificate(s) will be issued and transferred in favor of IEPF on completion of necessary formalities. The original share certificate(s) that are registered in the name of shareholder(s) will stand automatically cancelled and become non-negotiable.

In demat form: the Company shall transfer the shares by way of corporate action through the Depositories to the demat account of IEPF Authority.

The concerned shareholders are further requested to note that all future benefits arising on such shares would also be transferred to IEPF Authority.

The shareholders may note that both, unclaimed dividend(s) and equity share(s) transferred/ to be transferred to IEPF can be claimed by obtaining entitlement letter from Company and by submitting



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an online application in IEPF-5 available on the website of the ministry at www.iepf.gov.in and sending physical copy of the same, duly signed, to the attention of the Nodal Officer of the Company, along with requisite documents as enumerated in form IEPF-5.

The shareholders may further note that the details of unpaid/unclaimed dividends and shares of the concerned shareholder(s) uploaded by the Company on its website at <https://swan.co.in/reports>, shall be treated as adequate notice in respect of issue of the new share certificate(s) by the Company for the purpose of transfer of shares to IEPF and no claim shall lie against the Company in respect of unpaid/unclaimed dividends and equity shares transfer to the IEPF.

For any queries on the above matter, shareholders are requested contact either Nodal Officer at its registered office or Purva Shareregistry (India) Private Limited, Shivshakti Industrial Estate, Unit No.9, 7/B, Sitaram Mill Compound, J.R. Boricha Marg, Lower Parel, Mumbai 400 011.

For Swan Energy Limited

Sd/-

Arun S. Agarwal

Nodal Officer and Company Secretary

June 20, 2023

Mumbai

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SWAN ENERGY LIMITED

Regd. Office: 6, Feltham House, 10 J. N. Heredia Marg, Ballard Estate, Mumbai – 400 001

Tel: 022-40587300, Email: invgrv@swan.co.in, Website: www.swan.co.in

CIN: L17100MH1909PLC000294

STATEMENT OF EQUITY SHARES LIABLE TO BE TRANSFERRED TO INVESTOR EDUCATION AND PROTECTION FUND (IEPF)

SN	Folio Number	DPIDCLIENTID	First Holder Name	Second Holder Name	Third Holder Name	Shares identified for Transferring To IEPF- 30/04/2023	Dividend for FY2015-16	Shares held - FY 2015-16	Dividend for FY 2016-17	Shares held - 2016-17	Dividend for FY 2017-18	Shares held - FY 2017-18	Dividend for FY 2018-19	Shares held - FY 2018-19	Dividend for FY 2019-20	Shares held - FY 2019-20	Dividend for FY 2020-21	Shares held - FY 2020-21	Dividend for FY 2021-22	Shares held - FY 2021-22
1	44194	NA	Kamal Kumar Basu			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
2	31247	NA	Padma Natverlal Rajdev	Mrs Rama Ratilal Chhotai	Mrs Rama Ratilal Chhotai	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
3	42694	NA	Kusum Babubhai Shah	Miss Vishakha Babubhai Shah	Miss Vishakha Babubhai Shah	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
4	42695	NA	Kusum Babubhai Shah	Mr Vikram Babubhai Shah	Mr Vikram Babubhai Shah	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
5	42697	NA	Kusum Babubhai Shah	Mr Tejpal Babubhai Shah	Mr Tejpal Babubhai Shah	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
6	43310	NA	Kusum Babubhai Shah	Mr Tejpal Babubhai Shah	Mr Tejpal Babubhai Shah	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
7	40066	NA	Bansidhar Parmananddas Nagori			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
8	B00139	NA	Bhalchandra N Bhatt			1200	60	1200	90	1200	120	1200	120	1200	120	1200	120	1200	120	1200
9	40026	NA	Shivabhai Prabhudas Patel	Mr Dhirubhai Shivabhai Patel	Mr Dhirubhai Shivabhai Patel	1500	75	1500	112.5	1500	150	1500	150	1500	150	1500	150	1500	150	1500
10	42107	NA	Champaklal Chhaganlal Shah	Mrs Chanchalgauri Champaklal Shah	Mrs Chanchalgauri Champaklal	1000	50	1000	75	1000	100	1000	100	1000	100	1000	100	1000	100	1000
11	41691	NA	Tarla Lalitchandra Dalal	Mr Sanjay Lalitchandra Dalal	Mr Sanjay Lalitchandra Dalal	600	30	600	45	600	60	600	60	600	60	600	60	600	60	600
12	42438	NA	Umesh Rakhra			1200	60	1200	90	1200	120	1200	120	1200	120	1200	120	1200	120	1200
13	1295	NA	Dahibai Nensey	Mr Nensi Harjivan	Mr Nensi Harjivan	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
14	43233	NA	Deepakkumar Morlidhar Shah			200	10	200	15	200	20	200	20	200	20	200	20	200	20	200
15	49212	NA	Veena N Modi	Jagdish R Modi	Jagdish R Modi	500	25	500	37.5	500	50	500	50	500	50	500	50	500	50	500
16	40287	NA	Amritlal Keshavlal Shah			300	15	300	22.5	300	30	300	30	300	30	300	30	300	30	300
17	46468	NA	Ashutosh Nalinbhai Munshaw	Mrsalka Ashutosh Munshaw	Mrsalka Ashutosh Munshaw	500	25	500	37.5	500	50	500	50	500	50	500	50	500	50	500
18	49086	NA	Kadam Mohan Ganesh	Ghag Vithal Sakharam	Ghag Vithal Sakharam	500	25	500	37.5	500	50	500	50	500	50	500	50	500	50	500
19	48840	NA	Bipin Bapalal Shah			400	20	400	30	400	40	400	40	400	40	400	40	400	40	400
20	47838	NA	Tulsibai Assandas Makhija			1000	50	1000	75	1000	100	1000	100	1000	100	1000	100	1000	100	1000
21	41901	NA	Mohan Motiram Jaykar	Mrs Shweta Mohan Jayakar	Mrs Shweta Mohan Jayakar	600	30	600	45	600	60	600	60	600	60	600	60	600	60	600
22	3036	NA	Pestonji Jehengirji Karkaria	Mrs Gool Pestonji Karkaria	Mrs Gool Pestonji Karkaria	500	25	500	37.5	500	50	500	50	500	50	500	50	500	50	500
23	40192	NA	Madhukanta Jagmohandas Gandhi			500	25	500	37.5	500	50	500	50	500	50	500	50	500	50	500
24	K00159	NA	Kapila Girish Shah			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
25	31017	NA	Sevantilal Kasturchand			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
26	28153	NA	Umraosingh Parmanand Dalal	Mrs Usha Umraosingh	Mrs Usha Umraosingh	200	10	200	15	200	20	200	20	200	20	200	20	200	20	200
27	30236	NA	Leela Pravin Gandhi	Mr Pravin Karsandas Gandhi	Mr Pravin Karsandas Gandhi	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
28	42748	NA	Gouri Vinod Yennemadi			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
29	4241	NA	Anant Hasmukhlal Desai	Mrs Tarla Anant Desai	Mrs Tarla Anant Desai	500	25	500	37.5	500	50	500	50	500	50	500	50	500	50	500
30	45836	NA	Manubhai Ratilal Doshi	Mr Bimal Indulal Parekh	Mr Bimal Indulal Parekh	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
31	44824	NA	Purushottamdas Ambalal Purohit			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
32	42249	NA	Kanchan Narottamdas Shah	Mr Narottamdas Gulabchand Shah	Mr Narottamdas Gulabchand	200	10	200	15	200	20	200	20	200	20	200	20	200	20	200
33	48498	NA	Raxa Vasant Khania			500	25	500	37.5	500	50	500	50	500	50	500	50	500	50	500

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34	41931	NA	Shiva Kumari Singh			700	35	700	52.5	700	70	700	70	700	70	700	70	700	70	700
35	42953	NA	Job Benjamin Mordecai	Mrs Rebecca Job Mordecai	Mrs Rebecca Job Mordecai	700	35	700	52.5	700	70	700	70	700	70	700	70	700	70	700
36	40718	NA	Madhav Parshuram Khambete	Mrs Padmaja Madhav Khambete	Mrs Padmaja Khambete	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
37	47383	NA	Ganeshdas Jamnadas Chhabria	Mainabai Ganeshdas Chhabria	Mainabai Ganeshdas Chhabria	500	25	500	37.5	500	50	500	50	500	50	500	50	500	50	500
38	49029	NA	Suresh Oswal			500	25	500	37.5	500	50	500	50	500	50	500	50	500	50	500
39	48698	NA	Sanjiv Rathi			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
40	44213	NA	Abanindro Nath Mitter			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
41	44497	NA	Goparani Debi			1300	65	1300	97.5	1300	130	1300	130	1300	130	1300	130	1300	130	1300
42	44300	NA	Juthika Sen			200	10	200	15	200	20	200	20	200	20	200	20	200	20	200
43	44192	NA	Sailesh Chandra Bose	Mrs Nilima Bose	Mrs Nilima Bose	100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
44	42324	NA	Basudeo Kataruka			200	10	200	15	200	20	200	20	200	20	200	20	200	20	200
45	48669	NA	Sanjay Kumar Budhia			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
46	NA	1203230000376131	Rahul Gupta			8	0.4	8	0.6	8	0.8	8	0.8	8	0.8	8	0.8	8	0.8	8
47	NA	120360000252547	Ramesh Monji Jatania			250	12.5	250	18.75	250	55	550	55	550	55	550	55	550	55	550
48	NA	1202470000186811	Jyotsna Rameshkumar Solanki			10	0.5	10	0.75	10	1	10	1	10	1	10	1	10	1	10
49	NA	1202890000447004	Prathamesh Suresh Joshi			50	2.5	50	3.75	50	5	50	5	50	5	50	5	50	5	50
50	NA	1203320000594363	Hetal Prakash Vyas			4	0.2	4	0.3	4	0.4	4	0.4	4	0.4	4	0.4	4	0.4	4
51	NA	IN302365-10104629	Ashok Kumar Goyal			100	5	100	7.5	100	10	100	10	100	10	100	10	100	10	100
52	NA	IN302365-10519199	Gauri Shankar Goel(Huf)			200	10	200	15	200	20	200	20	200	18	200	18	200	18	200
53	NA	IN300636-20057476	Himanshu Jayantilal Shah			40	2	40	3	40	4	40	4	40	4	40	4	40	4	40
54	NA	IN300513-12656910	Dulpin Ayeli Dsouza			10	10	200	15	200	20	200	20	200	20	200	20	200	20	200
55	NA	IN301774-13411827	Amit Avin Deo	Nilesh Arun Shelke	Nilesh Arun Shelke	20	1	20	1.5	20	2	20	2	20	2	20	2	20	2	20
56	NA	IN301774-12562315	Lalit Kumar Jain			200	10	200	15	200	20	200	20	200	20	200	20	200	20	200
57	NA	IN300360-10368230	Jayanta Ghosh			200	10	200	15	200	20	200	20	200	20	200	20	200	20	200
TOTAL						18992	959.1	19182	1438.65	19182	1948.2	19482	1948.2	19482	1946.2	19482	1946.2	19482	1946.2	19482