

**SWAN ENERGY LIMITED**

**Regd. Office: 6, Feltham House, 10 J. N. Heredia Marg, Ballard Estate, Mumbai – 400 001**

**Tel: 022-40587300, Email: [invgrv@swan.co.in](mailto:invgrv@swan.co.in), Website: [www.swan.co.in](http://www.swan.co.in)**

**CIN: L17100MH1909PLC000294**

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**TRANSFER OF EQUITY SHARES TO INVESTOR EDUCATION & PROTECTION FUND (IEPF)**

Pursuant to sections 124 and 125 of the Companies Act, 2013 read together with the IEPF (Accounting, Audit, Transfer and Refund) Rules, 2016, as amended from time to time, the Company is required to transfer the shares, in respect of which Dividend has remained unclaimed/unpaid for a period of seven consecutive years or more, to the Investor Education & Protection Fund (IEPF).

Accordingly, the underlying shares in respect of the unclaimed/unpaid dividend for the financial year 2016-17, declared at 109th Annual General Meeting of the shareholders held on September 21, 2017 and which has been unclaimed/unpaid for a period of seven (7) consecutive years are mandatorily required to be transferred to the IEPF on **October 27, 2024**.

Pursuant to the said Rules, the Company had sent an individual Communication to those shareholders whose shares are liable to be transferred to IEPF and have not claimed the Dividends for seven consecutive years in respect of the Financial Year 2016-17, requesting them to claim the same.

The Company has uploaded details of the shareholders whose shares are liable to be transferred to IEPF on its website i.e. [www.swan.co.in](http://www.swan.co.in). Shareholders are requested to refer to the website to verify the details of the shares liable to be transferred to IEPF.

Notice is further given to the shareholders to claim/encash the unpaid/unclaimed Dividend for the Financial Year 2016-17 onwards latest by **October 27, 2024** so that shares are not transferred to the IEPF. It may please be noted that if dividend remains unclaimed/unpaid as on October 27, 2024, the Company shall proceed to initiate action for the transfer of shares of such shareholders by the due date October 27, 2024, without any further notice as per revised procedure laid down in the Amended Rules.

As per Amended rules, the concerned shareholders holding shares in physical form and whose shares are liable to be transferred to IEPF, may please note that the Company would be issuing new Share Certificate in lieu of the Original certificate(s) held by them for the purpose of conversion into DEMAT form and subsequent transfer in DEMAT account of IEPF Authority. Upon such issue, the Original Share Certificate(s) which are registered in shareholder name(s) will stand automatically cancelled and be deemed non-negotiable. In case of such shareholders holding shares in demat form, the transfer of shares in DEMAT account of the IEPF Authority will be effected by the Company through the respective Depositories.

**The Shareholders may please note that the above full details of the list of such shareholders uploaded by the Company on its website should be regarded and shall be deemed to be adequate notice in respect of the issue of new share certificates for the purpose of transfer of shares to DEMAT account of the IEPF Authority pursuant to the amended Rules.**

All subsequent Dividends on such shares shall be credited to IEPF. No claim shall lie against the Company in respect of the unclaimed Dividend and shares transferred to IEPF. On transfer of the Dividend and shares to IEPF, the shareholders may claim the same by making an application to IEPF in form IEPF-5 as per the Rules. The said procedure is available on the website of IEPF viz., [www.iepf.gov.in](http://www.iepf.gov.in).

For any queries on the above matter, shareholders are requested contact either Nodal Officer at its registered office or Purva Sharegistry (India) Private Limited, Shivshakti Industrial Estate, Unit No.9, 7/B, Sitaram Mill Compound, J.R. Boricha Marg, Lower Parel, Mumbai 400 011.

**For Swan Energy Limited**

**Sd/-**

**Arun S. Agarwal**

**Nodal Officer and Company Secretary**

**June 11, 2024**

**Mumbai**



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### STATEMENT OF EQUITY SHARES LIABLE TO BE TRANSFERRED TO INVESTOR EDUCATION AND PROTECTION FUND (IEPF)

71	120646000035691	Madhaviben Dharmeshbhai Waghela	-	-	Saurashtra University, Gaam - Munjaka, Rajkot,	-	360005	750.60	10008	0.80	8	0.80	8	0.80	8	0.80	8	0.80	8	0.80	8	0.80	8	
72	1203350000179631	Vasu Jugalkishor Maheshwari	-	-	Loyalka Apartment (Loyalka Marg), Mumbai	Maharashtra	400006	15.00	200	20.00	200	20.00	200	20.00	200	20.00	200	20.00	200	20.00	200	20.00	200	
73	1203600000010648	Jugalkishor Hukumchand Malpani	-	-	Near Masjeet (Mosque)		442302	0.68	9	0.90	9	0.90	9	0.90	9	0.90	9	0.90	9	0.90	9	0.90	8	
74	IN300974-11320797	Raithatha Kamlesh Shantilal	-	-	Sutarwada,	Bansri Chemicals, Porbandar.	360575	0.08	1	0.10	1	0.10	1	0.10	1	0.10	1	0.10	1	0.10	1	0.10	1	
75	IN300896-10195244	Komalam Ravindran	Raina E R	-	Ezhuvan Parambil House	P O Kurumpilavu Trichur	680564	13.88	185	18.50	185	18.50	185	18.50	185	18.50	185	18.50	185	18.50	185	10.00	100	
<b>Total</b>								<b>3082.14</b>	<b>41095</b>	<b>3109.50</b>	<b>31095</b>	<b>3109.50</b>	<b>31095</b>	<b>3055.50</b>	<b>31095</b>	<b>3055.50</b>	<b>31095</b>	<b>3055.50</b>	<b>31095</b>	<b>3047.00</b>	<b>31010</b>	<b>31009</b>		