

1. Name of Listed Entity: Swan Energy Limited
2. Scrip Code/Name of Scrip/Class of Security: SWANENERGY
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on: 31-Dec-2021
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information: -

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid-up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (Calculate as per SCRR, 1957) (VIII) As a % of (A+B+C 2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in Dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+ C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A	Promoter & Promoter Group	13	158500000	0	0	158500000	64.89	158500000	0	158500000	64.89	0	64.89	0	0	25695000	16.21	158500000
B	Public	11489	85757000	0	0	85757000	35.11	85757000	0	85757000	35.11	0	35.11	29000	0.03			84841000
C	Non-Promoter-Non-Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	11502	244257000	0	0	244257000	100	244257000	0	244257000	100	0	100	29000	0.01	25695000	10.52	243341000

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % Of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hindu undivided Family		3	86500	0	0	86500	0.04	86500	0	86500	0.04	0	0.04	0	0	0	0	86500
	VINITA NIKHIL MERCHANT	APZPM3319J	1	41500	0	0	41500	0.02	41500	0	41500	0.02	0	0.02	0	0	0	0	41500
	BHAVIK NIKHIL MERCHANT	APYPM9298M	1	41000	0	0	41000	0.02	41000	0	41000	0.02	0	0.02	0	0	0	0	41000
	NIKHIL VASANTLAL MERCHANT	AAEPM1722D	1	4000	0	0	4000	0	4000	0	4000	0	0	0	0	0	0	0	4000
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		10	158413500	0	0	158413500	64.86	158413500	0	158413500	64.86	0	64.86	0	0	25695000	16.22	158413500
	Bodies Corporate		10	158413500	0	0	158413500	64.88	158413500	0	158413500	64.88	0	64.88	0	0	25695000	55.82	158413500
	DAVE IMPEX PRIVATE LIMITED	AAACD1314M	1	46030400	0	0	46030400	18.85	46030400	0	46030400	18.85	0	18.85	0	0	25695000	55.82	46030400
	SWAN REALTORS PRIVATE LIMITED	AAFCS3263D	1	41589000	0	0	41589000	17.03	41589000	0	41589000	17.03	0	17.03	0	0	0	0	41589000
	SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H	1	38402858	0	0	38402858	15.72	38402858	0	38402858	15.72	0	15.72	0	0	0	0	38402858
	DAVE LEASING AND HOLDINGS	AABCD3185F	1	7440800	0	0	7440800	3.05	7440800	0	7440800	3.05	0	3.05	0	0	0	0	7440800

	PRIVATE LIMITED																		
	SAHAJANAND SOAPS AND CHEMICALS PVT LTD	AACCS7368 A	1	6610000	0	0	6610000	2.71	6610000	0	6610000	2.71	0	2.71	0	0	0	0	6610000
	DHANKALASH TRADECOMM PRIVATE LIMITED	AADCD1886 F	1	4000000	0	0	4000000	1.64	4000000	0	4000000	1.64	0	1.64	0	0	0	0	4000000
	FORCEFUL VINCOM PRIVATE LIMITED	AABCF3799 H	1	4000000	0	0	4000000	1.64	4000000	0	4000000	1.64	0	1.64	0	0	0	0	4000000
	BANSHIDHAR TRADERS PRIVATE LIMITED	AADCB8055 E	1	4000000	0	0	4000000	1.64	4000000	0	4000000	1.64	0	1.64	0	0	0	0	4000000
	INDERLOK DEALCOMM PRIVATE LIMITED	AACCI1061N	1	4000000	0	0	4000000	1.64	4000000	0	4000000	1.64	0	1.64	0	0	0	0	4000000
	SWAN INTERNATIONAL LIMITED	AAECS4774 Q	1	2340442	0	0	2340442	0.96	2340442	0	2340442	0.96	0	0.96	0	0	0	0	2340442
	Sub-Total (A)(1)		13	15850000	0	0	15850000	64.89	15850000	0	15850000	64.89	0	64.89	0	0	25695000	16.21	15850000
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	15850000	0	0	15850000	64.89	15850000	0	15850000	64.89	0	64.89	0	0	25695000	16.21	15850000

Table III - Statement showing shareholding pattern of the public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
a	Mutual Funds/		1	539	0	0	539	0	539	0	539	0	0	0	0	0			539
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		9	22004122	0	0	22004122	9.01	22004122	0	22004122	9.01	0	9.01	0	0			22004122
	ARES DIVERSIFIED	AAHCA2144B	1	7761310	0	0	7761310	3.18	7761310	0	7761310	3.18	0	3.18	0	0			7761310
	ALBULA INVESTMENT FUND LTD	AAHCA3597Q	1	5005765	0	0	5005765	2.05	5005765	0	5005765	2.05	0	2.05	0	0			5005765
	HYPNOS FUND LIMITED	AACCH6785N	1	3487608	0	0	3487608	1.43	3487608	0	3487608	1.43	0	1.43	0	0			3487608
	EOS MULTI STRATEGY FUND LTD	AADCE0686N	1	2905401	0	0	2905401	1.19	2905401	0	2905401	1.19	0	1.19	0	0			2905401
	DOVETAIL INDIA	AAGCD0792B	1	2474000	0	0	2474000	1.01	2474000	0	2474000	1.01	0	1.01	0	0			2474000

	FUND CLASS 5 SHARES																		
f	Financial Institutions/ Banks		7	106504	0	0	106504	0.04	106504	0	106504	0.04	0	0.04	0	0			6004
g	Insurance Companies		1	8110025	0	0	8110025	3.32	8110025	0	8110025	3.32	0	3.32	0	0			8110025
	LIFE INSURANCE CORPORATION OF INDIA	AAACL058 2H	1	8110025	0	0	8110025	3.32	8110025	0	8110025	3.32	0	3.32	0	0			8110025
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)		18	30221190	0	0	30221190	12.37	30221190	0	30221190	12.37	0	12.37	0	0			30120690
2	Central Government/ State Government(s))/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -		1098 7	5388682	0	0	5388682	2.2	5388682	0	5388682	2.2	0	2.2	29000	0.54			4669982
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		1098 6	5060482	0	0	5060482	2.07	5060482	0	5060482	2.07	0	2.07	29000	0.57			4341782

ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1	328200	0	0	328200	0.13	328200	0	328200	0.13	0	0.13	0	0			328200
b	NBFCs registered with RBI		1	1200	0	0	1200	0	1200	0	1200	0	0	0	0	0			1200
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		483	50145928	0	0	50145928	20.53	50145928	0	50145928	20.53	0	20.53	0	0			50049128
	Bodies Corporate		83	24915851	0	0	24915851	10.2	24915851	0	24915851	10.2	0	10.2	0	0			24875551
	KASTURI VINTRADE PRIVATE LIMITED	AADCK702 2Q	1	10226083	0	0	10226083	4.19	10226083	0	10226083	4.19	0	4.19	0	0			10226083
	TIRUPATI AGENCIES PRIVATE LIMITED	AAACT316 9Q	1	7695000	0	0	7695000	3.15	7695000	0	7695000	3.15	0	3.15	0	0			7695000
	NEEDFUL DEALCOM M PRIVATE LIMITED	AADCN528 2H	1	4171223	0	0	4171223	1.71	4171223	0	4171223	1.71	0	1.71	0	0			4171223
	Clearing Members		60	246986	0	0	246986	0.1	246986	0	246986	0.1	0	0.1	0	0			246986
	HUF		207	394436	0	0	394436	0.16	394436	0	394436	0.16	0	0.16	0	0			394436
	IEPF		1	668082	0	0	668082	0.27	668082	0	668082	0.27	0	0.27	0	0			668082
	LLP		6	499871	0	0	499871	0.2	499871	0	499871	0.2	0	0.2	0	0			499871
	Non-Resident		121	287002	0	0	287002	0.12	287002	0	287002	0.12	0	0.12	0	0			287002

	Indian (NRI)																		
	Overseas Corporate Bodies		1	23077000	0	0	23077000	9.45	23077000	0	23077000	9.45	0	9.45	0	0			23077000
	2I CAPITAL PCC	AAACZ2718B	1	23077000	0	0	23077000	9.45	23077000	0	23077000	9.45	0	9.45	0	0			23077000
	Trusts		3	1900	0	0	1900	0	1900	0	1900	0	0	0	0	0			200
	Unclaimed or Suspense or Escrow Account		1	54800	0	0	54800	0.02	54800	0	54800	0.02	0	0.02	0	0			0
	Sub-Total (B)(3)		11471	55535810	0	0	55535810	22.74	55535810	0	55535810	22.74	0	22.74	29000	0.05			54720310
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		11489	85757000	0	0	85757000	35.11	85757000	0	85757000	35.11	0	35.11	29000	0.03			84841000

Table IV - Statement showing shareholding pattern of the Non-Promoter- Non-Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0				0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0				0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0				0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
I	Nikhil V. Merchant	AAEPM1722D		India	51.6	51.6	0			
A	SWAN REALTORS PRIVATE LIMITED	AAFCS3263D		India	17.03	17.03		Yes	Yes	20-Feb-1992
B	SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H		India	15.72	15.72		Yes	Yes	20-Feb-1992
C	DAVE IMPEX PRIVATE LIMITED	AAACD1314M		India	18.85	18.85		Yes	Yes	20-Feb-1992

Table II- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %