

Name of Listed Entity: Swan Energy Limited

Scrip Code/Name of Scrip/Class of Security: SWANENERGY

Share Holding Pattern Filed under: 31b

Share Holding Pattern as on: 30-Sep-2021

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information: -

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (Calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	13	158500000	0	0	158500000	64.89	158500000	0	158500000	64.89	0	64.89	0	0	25321385	15.98	158500000
B	Public	11126	85757000	0	0	85757000	35.11	85757000	0	85757000	35.11	0	35.11	0	0			84771100
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	11139	244257000	0	0	244257000	100	244257000	0	244257000	100	0	100	0	0	25321385	10.37	243271100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hindu undivided Family		3	86500	0	0	86500	0.04	86500	0	86500	0.04	0	0.04	0	0	0	0	86500
	VINITA NIKHIL MERCHANT	APZPM3319J	1	41500	0	0	41500	0.02	41500	0	41500	0.02	0	0.02	0	0	0	0	41500
	BHAVIK NIKHIL MERCHANT	APYPM9298M	1	41000	0	0	41000	0.02	41000	0	41000	0.02	0	0.02	0	0	0	0	41000
	NIKHIL VASANTLAL MERCHANT	AAEPM1722D	1	4000	0	0	4000	0	4000	0	4000	0	0	0	0	0	0	0	4000
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		10	158413500	0	0	158413500	64.86	158413500	0	158413500	64.86	0	64.86	0	0	25321385	15.98	158413500
	Bodies Corporate		10	158413500	0	0	158413500	64.88	158413500	0	158413500	64.88	0	64.88	0	0	25321385	55.01	158413500
	DAVE IMPEX PRIVATE LIMITED	AAACD1314M	1	46030400	0	0	46030400	18.85	46030400	0	46030400	18.85	0	18.85	0	0	25321385	55.01	46030400
	SWAN REALTORS PRIVATE LIMITED	AAFCS3263D	1	41589000	0	0	41589000	17.03	41589000	0	41589000	17.03	0	17.03	0	0	0	0	41589000
	SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H	1	38402858	0	0	38402858	15.72	38402858	0	38402858	15.72	0	15.72	0	0	0	0	38402858
	DAVE LEASING AND HOLDINGS PRIVATE LIMITED	AABCD3185F	1	7440800	0	0	7440800	3.05	7440800	0	7440800	3.05	0	3.05	0	0	0	0	7440800

	SAHAJANAND SOAPS AND CHEMICALS PVT LTD	AACCS7368A	1	6610000	0	0	6610000	2.71	6610000	0	6610000	2.71	0	2.71	0	0	0	0	6610000
	DHANKALASH TRADECOMM PRIVATE LIMITED	AADCD1886F	1	4000000	0	0	4000000	1.64	4000000	0	4000000	1.64	0	1.64	0	0	0	0	4000000
	FORCEFUL VINCOM PRIVATE LIMITED	AABCF3799H	1	4000000	0	0	4000000	1.64	4000000	0	4000000	1.64	0	1.64	0	0	0	0	4000000
	BANSHIDHAR TRADERS PRIVATE LIMITED	AADCB8055E	1	4000000	0	0	4000000	1.64	4000000	0	4000000	1.64	0	1.64	0	0	0	0	4000000
	INDERLOK DEALCOMM PRIVATE LIMITED	AACCI1061N	1	4000000	0	0	4000000	1.64	4000000	0	4000000	1.64	0	1.64	0	0	0	0	4000000
	SWAN INTERNATIONAL LIMITED	AAECS4774Q	1	2340442	0	0	2340442	0.96	2340442	0	2340442	0.96	0	0.96	0	0	0	0	2340442
	Sub-Total (A)(1)		13	158500000	0	0	158500000	64.89	158500000	0	158500000	64.89	0	64.89	0	0	25321385	15.98	158500000
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	158500000	0	0	158500000	64.89	158500000	0	158500000	64.89	0	64.89	0	0	25321385	15.98	158500000

Table III - Statement showing shareholding pattern of the public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid-up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholder ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialize ed form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shar es held (b)	No. (Not applicable) (a)		As a % of total share s held (Not applicable) (b)
									ass X	ass Y	Tot al								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		1	379	0	0	379	0	379	0	379	0	0	0	0	0		379	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
e	Foreign Portfolio Investors		10	19708738	0	0	19708738	8.07	19708738	0	19708738	8.07	0	8.07	0	0		19708738	
	ARES DIVERSIFIED	AAHCA2144B	1	7922310	0	0	7922310	3.24	7922310	0	7922310	3.24	0	3.24	0	0		7922310	
	ALBULA INVESTMENT FUND LTD	AAHCA3597Q	1	5005765	0	0	5005765	2.05	5005765	0	5005765	2.05	0	2.05	0	0		5005765	
	HYPNOS FUND LIMITED	AACCH6785N	1	3502908	0	0	3502908	1.43	3502908	0	3502908	1.43	0	1.43	0	0		3502908	
	EOS MULTI STRATEGY FUND LTD	AADCE0686N	1	2905401	0	0	2905401	1.19	2905401	0	2905401	1.19	0	1.19	0	0		2905401	
f	Financial Institutions/		7	106504	0	0	106504	0.04	106504	0	106504	0.04	0	0.04	0	0		6004	

	Banks																		
g	Insurance Companies		1	8448645	0	0	8448645	3.46	8448645	0	8448645	3.46	0	3.46	0	0			8448645
	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	1	8448645	0	0	8448645	3.46	8448645	0	8448645	3.46	0	3.46	0	0			8448645
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)		19	28264266	0	0	28264266	11.57	28264266	0	28264266	11.57	0	11.57	0	0			28163766
2	Central Government/ State Government(s))/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -		10689	5533633	0	0	5533633	2.26	5533633	0	5533633	2.26	0	2.26	0	0			4774733
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		10688	5205433	0	0	5205433	2.13	5205433	0	5205433	2.13	0	2.13	0	0			4446533
ii	Individual shareholders holding nominal share capital in excess of Rs.		1	328200	0	0	328200	0.13	328200	0	328200	0.13	0	0.13	0	0			328200

	2 lakhs.																		
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		418	51959101	0	0	51959101	21.27	51959101	0	51959101	21.27	0	21.27	0	0			51832601
	Bodies Corporate		80	27161061	0	0	27161061	11.12	27161061	0	27161061	11.12	0	11.12	0	0			27091061
	KASTURI VINTRADE PRIVATE LIMITED	AADCK7022Q	1	10226083	0	0	10226083	4.19	10226083	0	10226083	4.19	0	4.19	0	0			10226083
	TIRUPATI AGENCIES PRIVATE LIMITED	AAACT3169Q	1	7695000	0	0	7695000	3.15	7695000	0	7695000	3.15	0	3.15	0	0			7695000
	NEEDFUL DEALCOM M PRIVATE LIMITED	AADCN5282H	1	4114434	0	0	4114434	1.68	4114434	0	4114434	1.68	0	1.68	0	0			4114434
	SURYAKAN CHAN VINIMAY PRIVATE LIMITED	AANCS1976K	1	2886345	0	0	2886345	1.18	2886345	0	2886345	1.18	0	1.18	0	0			2886345
	Clearing Members		39	68724	0	0	68724	0.03	68724	0	68724	0.03	0	0.03	0	0			68724
	HUF		186	361821	0	0	361821	0.15	361821	0	361821	0.15	0	0.15	0	0			361821
	IEPF		1	603463	0	0	603463	0.25	603463	0	603463	0.25	0	0.25	0	0			603463
	LLP		6	495527	0	0	495527	0.2	495527	0	495527	0.2	0	0.2	0	0			495527
	Non-Resident Indian (NRI)		101	134805	0	0	134805	0.06	134805	0	134805	0.06	0	0.06	0	0			134805
	Overseas		1	23077000	0	0	23077000	9.45	23077000	0	23077000	9.45	0	9.45	0	0			23077000

Corporate Bodies						0				00								
2I CAPITAL PCC	AAACZ2718B	1	23077000	0	0	23077000	9.45	23077000	0	23077000	9.45	0	9.45	0	0			23077000
Trusts		3	1900	0	0	1900	0	1900	0	1900	0	0	0	0	0			200
Unclaimed or Suspense or Escrow Account		1	54800	0	0	54800	0.02	54800	0	54800	0.02	0	0.02	0	0			0
Sub-Total (B)(3)		11107	57492734	0	0	57492734	23.54	57492734	0	57492734	23.54	0	23.54	0	0			56607334
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		11126	85757000	0	0	85757000	35.11	85757000	0	85757000	35.11	0	35.11	0	0			84771100

Table IV - Statement showing shareholding pattern of the Non-Promoter- Non-Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV+V+VI)	Shareholder ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
									No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total share s held (Not applicable)	
									Class X	Class Y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0			0	

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Nikhil V. Merchant	AAEPM1722D		India	51.6	51.6	0			
A	SWAN REALTORS PRIVATE LIMITED	AAFCS3263D		India	17.03	17.03		Yes	Yes	20-Feb-1992
B	SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H		India	15.72	15.72		Yes	Yes	20-Feb-1992
C	DAVE IMPEX PRIVATE LIMITED	AAACD1314M		India	18.85	18.85		Yes	Yes	20-Feb-1992

Table II- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %