

Name of the Company	SWAN ENERGY LIMITED		
Scrip Code	503310	Quarter Ended	30/09/2015

Partly Paid-up Shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/ promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total			

Outstanding convertible securities :-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/ promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total			

Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of the warrants
Held by promoter/ promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total			

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	221180000
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17167 STATEMENT SHOWING SHAREHOLDING PATTERNS UNDER CLAUSE 30 OF THE LISTING AGREEMENT

Name of the Company	SWAN ENERGY LIMITED	
Script Code	503310	Quarter Ended 30/09/2015

Category code	Category Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total Shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered
					As a percentage of (A+B)	As a percentage of (A+B+C)	
(A)	Shareholding of Promoter and Promoter Group						
1	Indian						
(a)	Individuals / Hindu Undivided Family	0	0	0	0.00	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0	0.00
(c)	Bodies Corporate	10	158413500	158413500	71.62	36139000	22.81
(d)	Financial Institutions/Banks	0	0	0	0.00	0	0.00
(e)	Any Other (Specify)						
	(a) Directors	1	4000	4000	0.00	0	0.00
	(b) Directors Relative	2	82500	82500	0.04	0	0.00
	(c) Person Acting in Concert	0	0	0	0.00	0	0.00
	Sub Total (A)(1)	13	158500000	158500000	71.66	36139000	22.80
2	Foreign						
(a)	Individuals(Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0	0.00
(d)	Qualified foreign Investor	0	0	0	0.00	0	0.00
(e)	Any Other (Specify)	0	0	0	0.00	0	0.00
	Sub Total (A)(2)	0	0	0	0.00	0	***.***
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	13	158500000	158500000	71.66	36139000	0.00

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Name of the Company

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Category/Shareholder Code

Category/Shareholder Code	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered
				As a percentage of (A+B)	As a percentage of (A+B+C)	
(B) Public Shareholding						
1 Institutions						
(a) Mutual Funds / UTI	1	934	934	0.00	0.00	
(b) Financial Institutions / Banks	8	1656969	1562069	0.75	0.75	
(c) Central Government / State Government(s)	0	0	0	0.00	0.00	
(d) Venture Capital Funds	0	0	0	0.00	0.00	
(e) Insurance Companies	3	5613090	5612790	2.54	2.54	
(f) Foreign Institutional Investors	4	21392274	21392274	9.67	9.67	
(g) Foreign Venture Capital Investors	0	0	0	0.00	0.00	
(h) Qualified Foreign Investor	0	0	0	0.00	0.00	
(i) Any Other (Specify)	0	0	0	0.00	0.00	
Sub Total (B)(1)	16	28663267	28568067	12.96	12.96	N.A.
2 Non-Institutions						
(a) Bodies Corporate	152	27442170	27364870	12.41	12.41	
(b) Individuals	5892	4310690	2699390	1.95	1.95	
(i) Individual Shareholders holding nominal share capital up to Rs. 1 lakh	3	1388684	1388684	0.63	0.63	
(ii) Individual Shareholders holding nominal share capital in excess of Rs. 1 lakh	0	0	0	0.00	0.00	
(c) Qualified Foreign Investor	0	0	0	0.00	0.00	
(d) Any Other (Specify)	35	29137	29137	0.01	0.01	
(a) N.R.I. (Repatriated & Non-Repatriated)	0	0	0	0.00	0.00	
(b) Foreign Corporate Bodies	3	2500	1300	0.00	0.00	
(c) Trust	111	140002	140002	0.06	0.06	
(d) Hindu Undivided Family	0	0	0	0.00	0.00	
(e) Employee	0	0	0	0.00	0.00	
(f) Clearing Members	30	703550	703550	0.32	0.32	
Sub Total (B)(2)	6226	34016733	32326933	15.38	15.38	N.A.
Total Public Shareholding (B) = (B)(1)+(B)(2)	6242	62680000	60895000	28.34	28.34	
TOTAL (A)+(B)	6255	22180000	219395000	100.00	100.00	N.A.
Shares held by Custodians and against which Depository Receipts have been issued						
1 Promoter and Promoter Group	0	0	0	0.00	0.00	N.A.
2 Public	0	0	0	0.00	0.00	N.A.
Sub-Total (C)	0	0	0	0.00	0.00	N.A.
GRAND TOTAL (A)+(B)+(C)	6255	22180000	219395000	100.00	100.00	

Name of the Company	SUN ENERGY LIMITED
Script Code	505310
Quarter Ended	30/09/2015

(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the Shareholder	Details of Shares held		Encumbered shares (%)		As a % of grand total (A)+(B)+(C) of sub-clause (D)(a)	Details of Warrants			Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities as a % diluted share capital)
		Number of shares held	as a % of Grand Total (A)+(B)+(C)	Number	as a percentage		(A)	As a % total number of warrants held of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)
1	DAVE IMPEX PRIVATE LIMITED	46050400	20.81	20609000	44.77	9.32	0	0.00	0	0.00	0.00	20.81
2	SUN REALTIES PRIVATE LIMITED	41339000	18.80	15530000	37.34	7.02	0	0.00	0	0.00	0.00	18.80
3	SUN ENGITECH WORKS PRIVATE LIMITED	39402858	17.36	0	0.00	0.00	0	0.00	0	0.00	0.00	17.36
4	DAVE LEASING AND HOLDINGS PRIVATE L	7446800	3.36	0	0.00	0.00	0	0.00	0	0.00	0.00	3.36
5	SANA JAGMO SOAPS AND CHEMICALS PVT	6618000	2.98	0	0.00	0.00	0	0.00	0	0.00	0.00	2.98
6	FORTEFL VINCON PRIVATE LIMITED	4000000	1.80	0	0.00	0.00	0	0.00	0	0.00	0.00	1.80
7	INDRAJOK DEALIOMS PRIVATE LIMITED	4000000	1.80	0	0.00	0.00	0	0.00	0	0.00	0.00	1.80
8	PANSHIDHAR TRADERS PRIVATE LIMITED	4000000	1.80	0	0.00	0.00	0	0.00	0	0.00	0.00	1.80
9	DHARWALASH TRADECOMS PRIVATE LIMITE	4000000	1.80	0	0.00	0.00	0	0.00	0	0.00	0.00	1.80
10	SUNN INTERSTITIONAL LIMITED	2540442	1.05	0	0.00	0.00	0	0.00	0	0.00	0.00	1.05
11	VINITA NIKHEL MERCHANT	41200	0.01	0	0.00	0.00	0	0.00	0	0.00	0.00	0.01
12	RASHIK NIKHEL MERCHANT	41000	0.01	0	0.00	0.00	0	0.00	0	0.00	0.00	0.01
13	NIKHEL VASANTLAL MERCHANT	4000	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00	0.00
	TOTAL	15850000	71.66	36139000	22.80	16.34	0	0.00	0	0.00	0.00	71.66

(\*) The term 'encumbrance' has the same meaning as assigned to it in regulation 28(3) of the SEBI Regulation, 2011

Name of the Company	SUN ENERGY LIMITED
Scrjy Code	305310
Quarter Ended	30/09/2015

(1)(C)(1) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the Shareholder	Total number of shares	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above)		Details of Warrants		Details of convertible securities			Total Shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
			Number of Warrants held	As a % total number of warrants of the same class	Number of Convertible securities held	As a % total number of convertible securities of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
1	AKES DIVERSIFIED	8758696	0	0.00	0	0.00	0	0.00	0	3.96
2	TINUPATI AGENCIES PRIVATE LIMITED	7695800	0	0.00	0	0.00	0	0.00	0	3.47
3	KASTURI VINTAGE PRIVATE LIMITED	7521309	0	0.00	0	0.00	0	0.00	0	3.40
4	ALDRA INVESTMENT FUND LTD	5755800	0	0.00	0	0.00	0	0.00	0	2.59
5	LIFE INSURANCE CORPORATION OF INDIA	5612790	0	0.00	0	0.00	0	0.00	0	2.53
6	SURYAKAMJHAN VINIMAY PRIVATE LIMITED	5060000	0	0.00	0	0.00	0	0.00	0	2.28
7	WEEFUL DEALDOWN PRIVATE LIMITED	5060000	0	0.00	0	0.00	0	0.00	0	2.28
8	HYPMOS FUND LIMITED	5060778	0	0.00	0	0.00	0	0.00	0	1.72
9	EOS MULTI STRATEGY FUND LTD	5092900	0	0.00	0	0.00	0	0.00	0	1.39
3	TOTAL	52341373	0	0.00	0	0.00	0	0.00	0	23.66

Name of the Company	SWAN ENERGY LIMITED	
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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category 'Public' and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the Shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)		Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
			Number of Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% v.r.t. total number of convertible securities of the same class			
1	NIL	0	0.00	0	0.00	0	0.00	0.00	
3	TOTAL	0	0.00					0.00	

Name of the Company	SWAN ENERGY LIMITED		
Scrip Code	503310	Quarter Ended	30/09/2015

Statement showing details of locked-in shares

Sr. No.	Name of the Shareholder	Total number of shares	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statment at para (I)(a))	Promoter / Promoter Group / Public
1	FORCEFUL VINCOM PRIVATE LIMITED	4000000	1.81	Promoter/Promoter Group
2	INDEKLOK DEALCOMM PRIVATE LIMITED	4000000	1.81	Promoter/Promoter Group
3	DANSHIDHAK TRADEKS PRIVATE LIMITED	4000000	1.81	Promoter/Promoter Group
4	DHANKALASH TRADECOMM PRIVATE LINITE	4000000	1.81	Promoter/Promoter Group
	TOTAL	16000000	7.24	

Name of the Company	SWAN ENERGY LIMITED	
Scrp Code	503310	Quarter Ended 30/09/2015
(II)(a)	Statement showing details of Depository Receipts (DRs)	

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Total number of shares	Shares underlying out-standing DRs as a % of total number of shares (i.e., GRAND TOTAL (A)+(B)+(C) indicated in statement at para (I)(a) above)
	NIL	NIL	NIL	NIL
	TOTAL			

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying out-standing DRs as a % of total number of shares (i.e., GRAND TOTAL (A)+(B)+(C) indicated in statement at para (I)(a) above)
	NIL	NIL	NIL	NIL
	TOTAL			