

1. Name of Listed Entity: Swan Energy Limited
2. Scrip Code/Name of Scrip/Class of Security: SWANENERGY
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2022
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (Calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+ C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total							(XIV)	
A	Promoter & Promoter Group	15	169148000	0	0	169148000	64.09	169148000	0	169148000	64.09	0	64.09	10648000	6.3	36452500	21.55	169148000
B	Public	14395	94769000	0	0	94769000	35.91	94769000	0	94769000	35.91	0	35.91	9012000	9.51			93902500
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	14410	263917000	0	0	263917000	100	263917000	0	263917000	100	0	100	19660000	7.45	36452500	13.81	263050500

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)	
									Class X	Class Y	Total									
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family		3	86500	0	0	86500	0.03	86500	0	86500	0.03	0	0.03	0	0	0	0	0	86500
	VINITA NIKHIL MERCHANT	APZPM3319J	1	41500	0	0	41500	0.02	41500	0	41500	0.02	0	0.02	0	0	0	0	0	41500
	BHAVIK NIKHIL MERCHANT	APYPM9298M	1	41000	0	0	41000	0.02	41000	0	41000	0.02	0	0.02	0	0	0	0	0	41000
	NIKHIL VASANTLAL MERCHANT	AAEPM1722D	1	4000	0	0	4000	0	4000	0	4000	0	0	0	0	0	0	0	0	4000
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		12	169061500	0	0	169061500	64.06	169061500	0	169061500	64.06	0	64.06	10648000	6.3	36452500	21.56	169061500	
	Bodies Corporate		12	169061500	0	0	169061500	64.07	169061500	0	169061500	64.07	0	64.07	10648000	200	36452500	79.19	169061500	
	DAVE IMPEX PRIVATE LIMITED	AAACD1314M	1	46030400	0	0	46030400	17.44	46030400	0	46030400	17.44	0	17.44	0	0	36452500	79.19	46030400	
	SWAN REALTORS PRIVATE LIMITED	AAFCS3263D	1	41589000	0	0	41589000	15.76	41589000	0	41589000	15.76	0	15.76	0	0	0	0	41589000	
	SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H	1	38402858	0	0	38402858	14.55	38402858	0	38402858	14.55	0	14.55	0	0	0	0	38402858	
	DAVE LEASING AND HOLDINGS PRIVATE LIMITED	AABCD3185F	1	7440800	0	0	7440800	2.82	7440800	0	7440800	2.82	0	2.82	0	0	0	0	7440800	

	SAHAJANAND SOAPS AND CHEMICALS PVT LTD	AACCS7368A	1	6610000	0	0	6610000	2.5	6610000	0	6610000	2.5	0	2.5	0	0	0	0	6610000
	MUSE ADVERTISING AND MEDIA PRIVATE LIMITED	AAGCM8950J	1	5339500	0	0	5339500	2.02	5339500	0	5339500	2.02	0	2.02	5339500	100	0	0	5339500
	VAKRATUND PLAZA PRIVATE LIMITED	AADCV4833E	1	5308500	0	0	5308500	2.01	5308500	0	5308500	2.01	0	2.01	5308500	100	0	0	5308500
	DHANKALASH TRADECOMM PRIVATE LIMITED	AADCD1886F	1	4000000	0	0	4000000	1.52	4000000	0	4000000	1.52	0	1.52	0	0	0	0	4000000
	FORCEFUL VINCOM PRIVATE LIMITED	AABCF3799H	1	4000000	0	0	4000000	1.52	4000000	0	4000000	1.52	0	1.52	0	0	0	0	4000000
	BANSHIDHAR TRADERS PRIVATE LIMITED	AADCB8055E	1	4000000	0	0	4000000	1.52	4000000	0	4000000	1.52	0	1.52	0	0	0	0	4000000
	INDERLOK DEALCOMM PRIVATE LIMITED	AACCI1061N	1	4000000	0	0	4000000	1.52	4000000	0	4000000	1.52	0	1.52	0	0	0	0	4000000
	SWAN INTERNATIONAL LIMITED	AAECS4774Q	1	2340442	0	0	2340442	0.89	2340442	0	2340442	0.89	0	0.89	0	0	0	0	2340442
	Sub-Total (A)(1)		15	169148000	0	0	169148000	64.09	169148000	0	169148000	64.09	0	64.09	10648000	6.3	36452500	21.55	169148000
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		15	169148000	0	0	169148000	64.09	169148000	0	169148000	64.09	0	64.09	10648000	6.3	36452500	21.55	169148000

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding, as a % assuming full conversion of convertible securities	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions (Domestic)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds		1	403	0	0	403	0	403	0	403	0	0	0	0	0			403
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Banks		8	107437	0	0	107437	0.04	107437	0	107437	0.04	0	0.04	0	0			6937
e	Insurance Companies		1	7510174	0	0	7510174	2.85	7510174	0	7510174	2.85	0	2.85	0	0			7510174
	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	1	7510174	0	0	7510174	2.85	7510174	0	7510174	2.85	0	2.85	0	0			7510174
f	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
g	Asset reconstruction companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
h	Sovereign Wealth Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	NBFCs registered with RBI		1	1200	0	0	1200	0	1200	0	1200	0	0	0	0	0			1200
j	Other Financial Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
k	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)		11	7619214	0	0	7619214	2.89	7619214	0	7619214	2.89	0	2.89	0	0			7518714
2	Institutions (Foreign)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Foreign Direct Investment		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Sovereign Wealth Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	relatives (excluding independent directors and nominee directors)																		
c	Key Managerial Personnel		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Relatives of promoters (other than "Immediate Relatives" of promoters disclosed under "Promoter and Promoter Group" category)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Trusts where any person belonging to "Promoter and Promoter Group" category is "trustee", "beneficiary", or "author of the trust"		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
f	Investor Education and Protection Fund (IEPF)		1	668082	0	0	668082	0.25	668082	0	668082	0.25	0	0.25	0	0			668082
g	Resident Individuals holding nominal share capital up to Rs. 2 lakhs		13691	6125786	0	0	6125786	2.32	6125786	0	6125786	2.32	0	2.32	0	0			5471986
h	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs		2	743614	0	0	743614	0.28	743614	0	743614	0.28	0	0.28	0	0			743614
i	Non Resident Indians (NRIs)		179	736889	0	0	736889	0.28	736889	0	736889	0.28	0	0.28	0	0			736889
j	Foreign Nationals		1	1000	0	0	1000	0	1000	0	1000	0	0	0	0	0			1000
k	Foreign Companies		1	23077000	0	0	23077000	8.74	23077000	0	23077000	8.74	0	8.74	0	0			23077000
	2I CAPITAL PCC	AAACZ2718B	1	23077000	0	0	23077000	8.74	23077000	0	23077000	8.74	0	8.74	0	0			23077000
l	Bodies Corporate		130	31909322	0	0	31909322	12.09	31909322	0	31909322	12.09	0	12.09	9012000	28.24			31869022
	MONTEGO REALITY PRIVATE LIMITED	AAGCM9266K	1	4583000	0	0	4583000	1.74	4583000	0	4583000	1.74	0	1.74	4583000	100			4583000
	MALBERRY INFRASTRUCTURE PRIVATE LIMITED	AAGCM8275J	1	4429000	0	0	4429000	1.68	4429000	0	4429000	1.68	0	1.68	4429000	100			4429000

	TIRUPATI AGENCIES PRIVATE LIMITED	AAACT3169Q	1	7695000	0	0	7695000	2.92	7695000	0	7695000	2.92	0	2.92	0	0		7695000
	KASTURI VINTRADE PRIVATE LIMITED	AADCK7022Q	1	10226083	0	0	10226083	3.87	10226083	0	10226083	3.87	0	3.87	0	0		10226083
m	Any Other (specify)		368	1561562	0	0	1561562	0.59	1561562	0	1561562	0.59	0	0.59	0	0		1489662
	Clearing Members		66	491888	0	0	491888	0.19	491888	0	491888	0.19	0	0.19	0	0		491888
	Enemy Property		17	15400	0	0	15400	0.01	15400	0	15400	0.01	0	0.01	0	0		0
	HUF		271	482778	0	0	482778	0.18	482778	0	482778	0.18	0	0.18	0	0		482778
	LLP		10	514796	0	0	514796	0.2	514796	0	514796	0.2	0	0.2	0	0		514796
	Trusts		3	1900	0	0	1900	0	1900	0	1900	0	0	0	0	0		200
	Unclaimed or Suspense or Escrow Account		1	54800	0	0	54800	0.02	54800	0	54800	0.02	0	0.02	0	0		0
	Sub-Total (B)(4)		14373	64823255	0	0	64823255	24.56	64823255	0	64823255	24.56	0	24.56	9012000	13.9		64057255
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		14395	94769000	0	0	94769000	35.91	94769000	0	94769000	35.91	0	35.91	9012000	9.51		93902500

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0			0		
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021		0	0	0	0	0	0	0	0	0	0	0	0			0		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0			0		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
I	Nikhil V. Merchant	AAEPM1722D		India	47.75	47.75	0			
A	DAVE IMPEX PRIVATE LIMITED	AAACD1314M		India	17.44	17.44		Yes	Yes	20-Feb-1992
B	SWAN REALTORS PRIVATE LIMITED	AAFCS3263D		India	15.76	15.76		Yes	Yes	20-Feb-1992
C	SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H		India	14.55	14.55		Yes	Yes	20-Feb-1992

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %