

O/C

# Swan Energy Limited

(Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001.  
Tel.: 022-4058 7300 • Fax : +91-22-4058 7360 • Email : swan@swan.co.in

Swan/bse/

11<sup>th</sup> April, 2014

The Dept. of Corporate Service,  
Bombay Stock Exchange Limited,  
P.J. Tower, Dalal Street, Fort,  
Mumbai – 400 001.

Dear Sir / Madam,

**Company code 50 3310**

**Sub: Submission of Corporate Governance – Quarter 31.03.2014**

With reference to the above, we are enclosing herewith Corporate Governance Report of the Company for the quarter ending on 31<sup>st</sup> March, 2014.

Please find the above in order and acknowledge the receipt.

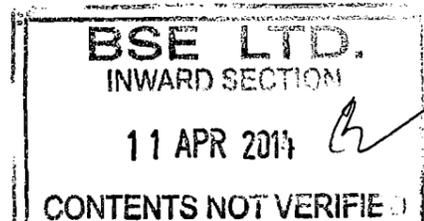
Thanking You,

Yours faithfully,

**For Swan Energy Limited**

  
(Arun S. Agarwal)  
Company Secretary

Encl: As above



Quarterly Compliance Report on Corporate Governance under Clause 49 of the Listing Agreement

**Name of the Company: Swan Energy Limited**

**Quarter ending on: 31<sup>st</sup> March, 2014**

<b>Particulars</b>	<b>Clause of Listing Agreement</b>	<b>Compliance Status Yes/No</b>	<b>Remarks</b>
<b>I Board of Directors</b>	49 I		
(A) Composition of Board	49 (IA)	Yes	
(B) Non-executive Directors' Compensation & Disclosures	49 (IB)	Yes	
(C) Other Provisions as to Board and Committees	49 (IC)	Yes	
D) Code of Conduct	49 (ID)	Yes	
<b>II. Audit Committee</b>	49 (II)		
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	
(B) Meeting of Audit Committee	49 (IIB)	Yes	
(C) Powers of Audit Committee 49 (IIC)	49 (IIC)	Yes	
(D) Role of Audit Committee	49 II(D)	Yes	
(E) Review of Information by Audit Committee	49 (IIE)	Yes	
<b>III. Subsidiary Companies</b>	49 (III)	Yes	
<b>IV. Disclosures</b>	49 (IV)		
(A) Basis of Related Party Transactions	49 (IV A)	Yes	
(B) Disclosure of Accounting Treatment	49 (IV B)	Yes	
(C) Board Disclosures	49 (IV C)	Yes	
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49 (IV D)	N.A.	
(E) Remuneration of Directors	49 (IV E)	Yes	
(F) Management	49 (IV F)	Yes	
(G) Shareholders	49 (IV G)	Yes	
<b>V. CEO/CFO Certification</b>	49 (V)	Yes	
<b>VI. Report on Corporate Governance</b>	49 (VI)	Yes	
<b>VII. Compliance</b>	49 (VII)	Yes	

For Swan Energy Limited  
(Formerly Swan Mills Limited)



*Arun S Agarwal*  
(Arun S Agarwal)  
Compliance Officer