

## General information about company

Scrip code	503310
NSE Symbol	SWANENERGY
MSEI Symbol	NOTLISTED
ISIN	INE665A01038
Name of the entity	Swan Energy Limited
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Half Yearly
Date of Report	30-09-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory		Add Notes																								
Whether the listed entity has a Regular Chairperson																										
		Yes																								
Whether Chairperson is related to MD or CEO																										
		Yes																								
Disqualification of Directors under section 164 of the Companies Act, 2013																										
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Refer Reg. 17(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(2) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 24(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN	
Add		Delete																								
1	Mr	Navinbhai C. Dave	AABP06262G	01787239	Non-Executive - Non Independent Director	Chairperson related to Promoter		25-10-1938	No				Active	Yes	24-05-2019	16-11-1998					1	0	1			
2	Mr	Nikhil V. Merchant	AAEPM1722D	00614790	Executive Director	Not Applicable		06-07-1960	No				Active	NA		25-03-1992	11-09-2019				2	0	0			
3	Mr	Raresh V. Merchant	AAJPM7513K	00660037	Executive Director	Not Applicable		19-09-1963	No				Active	NA		29-11-1998	11-09-2019				1	0	1			
4	Mr	Padmanabhan Sugwanam	AAKMP4751B	03229120	Executive Director	Not Applicable		06-06-1945	No				Active	NA		24-09-2010	28-09-2020				1	0	0			
5	Mr	Pitamber Teckchandani	ACUPT6610M	00319820	Non-Executive - Independent Director	Not Applicable		07-02-1938	No				Active	Yes	24-05-2019	29-09-2014	29-09-2019		108.02		1	1	1			
6	Mr	Shobhan I. Diwanji	AAEP09900L	01667803	Non-Executive - Independent Director	Not Applicable		18-08-1955	No				Active	NA		29-09-2014	29-09-2019		108.02		2	2	2			
7	Mr	Rajesh Kumar Dasgupta	AAAPD3186C	01725758	Non-Executive - Independent Director	Not Applicable		02-11-1936	No				Active	Yes	24-05-2019	29-09-2014	29-09-2019		108.02		1	1	0			
8	Mrs	Surekha Oak	AAAPD3528R	07122776	Non-Executive - Independent Director	Not Applicable		25-09-1954	No				Active	NA		13-03-2015	13-03-2015		101.38		1	1	2			
9	Mr	Rohinton Eruch Shroff	AAFP53351R	00234712	Non-Executive - Independent Director	Not Applicable		20-08-1950	No				Active	Yes	28-09-2022	23-08-2022	28-09-2022		13.08		2	2	0			



**Annexure 1**

**III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory			<b>Add Notes</b>				
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
<span style="margin-right: 20px;"><b>Add</b></span> <span><b>Delete</b></span>							
1	20-05-2023			Yes	9		
2	14-09-2023	116		Yes	9	9	5
3	22-09-2023	7		Yes	9	8	4

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory

**Add Notes**

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
<div style="display: flex; justify-content: space-between; align-items: center;"> <span><b>Add</b></span> <span><b>Delete</b></span> </div>										
1	Audit Committee	20-05-2023				Yes	3	3	3	0
2	Audit Committee	14-08-2023	85			Yes	3	3	3	0
3	Audit Committee	22-09-2023	38			Yes	3	2	2	0
4	Stakeholders Relationship Committee	20-05-2023				Yes	3	3	1	0
5	Stakeholders Relationship Committee	14-08-2023	85			Yes	3	3	1	0
6	Nomination and remuneration committee	14-08-2023				Yes	3	3	3	0
7	Corporate Social Responsibility Committee	14-08-2023				Yes	3	3	2	0

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
<b>Disclosure of notes on related party transactions</b>			<a href="#" style="background-color: #c00000; color: white; padding: 5px 15px; border-radius: 5px;">Add Notes</a>
<b>Disclosure of notes of material transaction with related party</b>			<a href="#" style="background-color: #c00000; color: white; padding: 5px 15px; border-radius: 5px;">Add Notes</a>

### Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter

No

Date of the event

Brief details of the event

## Annexure 1

## VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 1000 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<b>Add Notes</b>




## Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Arun S. Agarwal
2	Designation	Company Secretary and Compliance Officer

### Annexure III

#### III. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
7	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				<a href="#" style="background-color: #c00000; color: white; padding: 5px 15px; border-radius: 5px;">Add Notes</a>

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### Annexure III

1	Name of signatory	Arun S Agarwal
2	Designation	Company Secretary and Compliance Officer

### Additional Half yearly Disclosure

Applicability of disclosure	Applicable	
Reason for Non Applicability	<b>Add Notes</b>	

#### I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below The Figure should be mentioned in Actual INR only

(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to		
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0.00	0.00
Promoter Group or any other entity controlled by them	2853253.00	21478288.00
Directors (including relatives) or any other entity controlled by them	0.00	0.00
KMPs or any other entity controlled by them	0.00	0.00

(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them		0	0.00
Promoter Group or any other entity controlled by them		0	0.00
Directors (including relatives) or any other entity controlled by them		0	0.00
KMPs or any other entity controlled by them		0	0.00

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them		0	0.00
Promoter Group or any other entity controlled by them		0	0.00
Directors (including relatives) or any other entity controlled by them		0	0.00
KMPs or any other entity controlled by them		0	0.00

<b>(D) Additional Information</b>	<b>Add Notes</b>
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#### II. Affirmations

Affirmations	Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to	Yes	<b>Add Notes</b>
Name	Chetan Selarka	
Designation	CFO	
Place	Mumbai	
Date	21-10-2023	